

# Jet Reconstruction - Understanding the backgrounds and biases is key

*Helen Caines - Yale University*

RHIC Paradigms  
Austin, Tx  
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## Outline

- **p-p**  
Underlying event  
Initial and final state effects  
Fragmentation
- **d-Au**  
Cold nuclear matter effects
- **Au-Au**  
Understanding the background  
Probing jet modifications

# Jet definitions $\Leftrightarrow$ Jet algorithms

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The construction of a jet is **unavoidably ambiguous**

- Which particles get put together into a common jet?
- How do you combine their momenta?

Jet Algorithm

$\{p_i\} \rightarrow \{j_k\}$

individual 4-mtm    jets

# Jet definitions $\Leftrightarrow$ Jet algorithms

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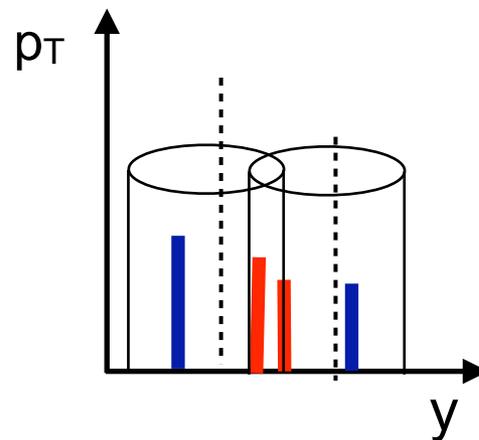
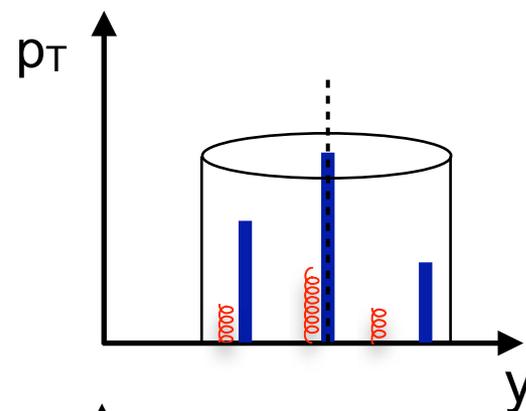
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Jet Algorithm

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individual 4-mtm jets

- Jet algorithms:
  - radius parameter
  - infra-red safe
    - jet unaffected by soft gluon emission
  - collinear safe
    - jet unaffected by parton splitting



# Jet finders used at RHIC

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- $k_T$  and anti- $k_T$  recombination algorithms from FastJet

Cacciari, Salam and Soyez, JHEP0804 (2008) 005, arXiv:0802.1188

- resolution parameter  $R$ : 0.2 - 0.7

- background subtraction:

$$p_{T,\text{meas}}(\text{Jet}) \sim p_{T,\text{true}}(\text{Jet}) + \rho A \pm \sigma \sqrt{A}$$

$A$ : active jet area,  $\rho$ : median of  $p_T/A$  distribution



- Gaussian filter with  $\sigma=0.3$  (Y.S.Lai, B.A.Cole, arXiv: 0806.1499)

core of jet has higher weight: optimized to suppress background

ideal for limited-acceptance detector

seedles

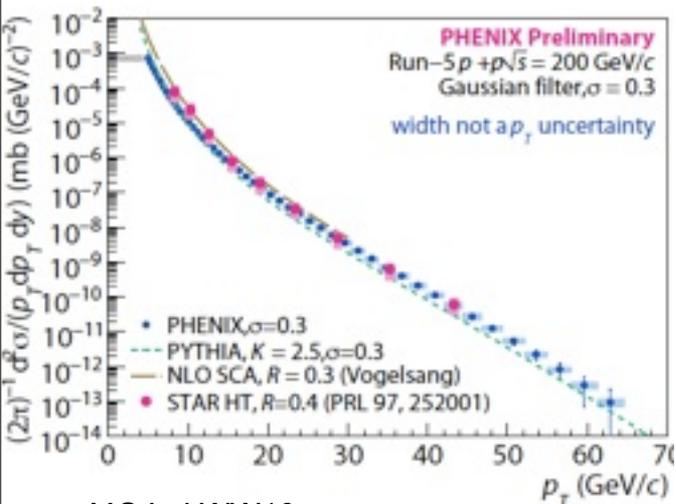
'cone like' but not infrared or collinear safe

- Jet-by-jet fake rejection by Gaussian-filtered ( $\sigma=0.1$ )  $p_T^2$  sum > cut

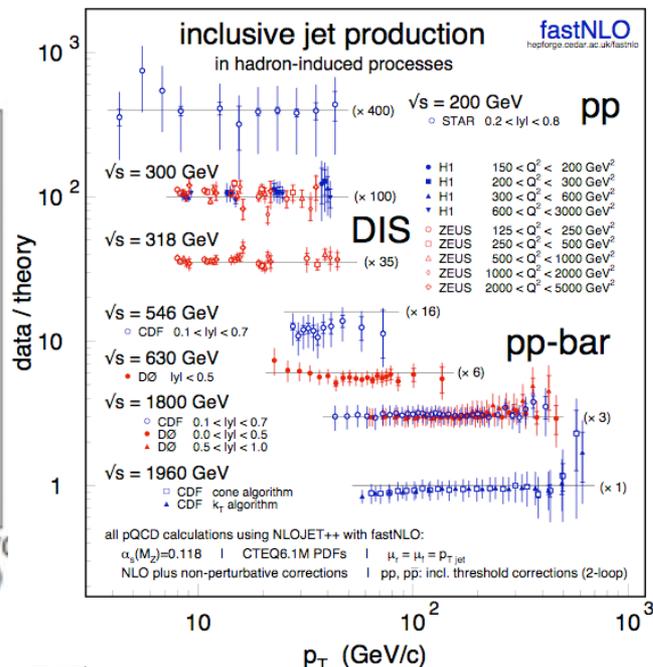
Y.S.Lai (PHENIX), arXiv: 0907.4725

shouldn't reject quenched jets (PYQUENCH simulation)

# Jets in p-p at RHIC



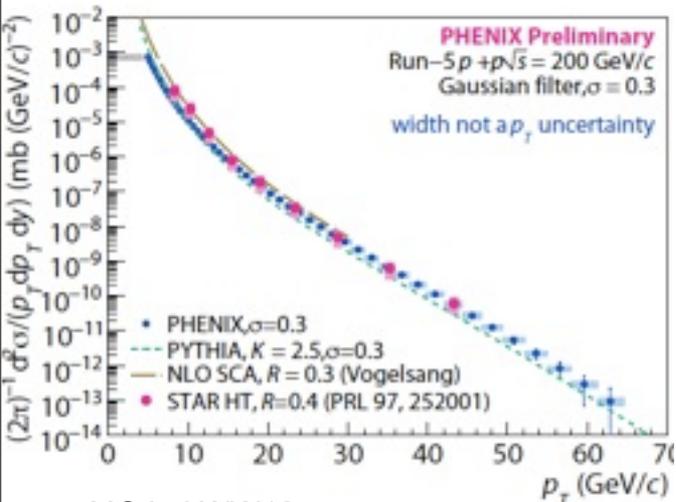
Y.S.Lai WW10



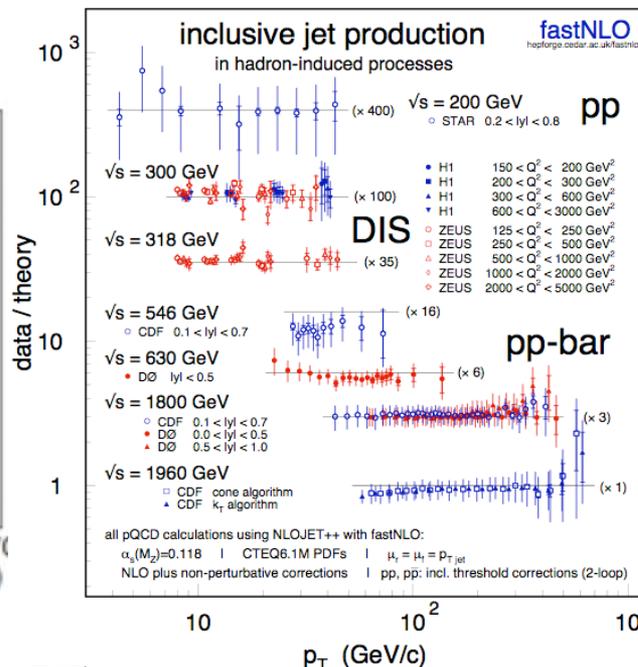
T. Kluge,  
K. Rabbertz, M. Wobish

- Jet cross-section in p+p is well described by NLO pQCD calculations over 7 orders of magnitude.
- Excellent description when included in world data

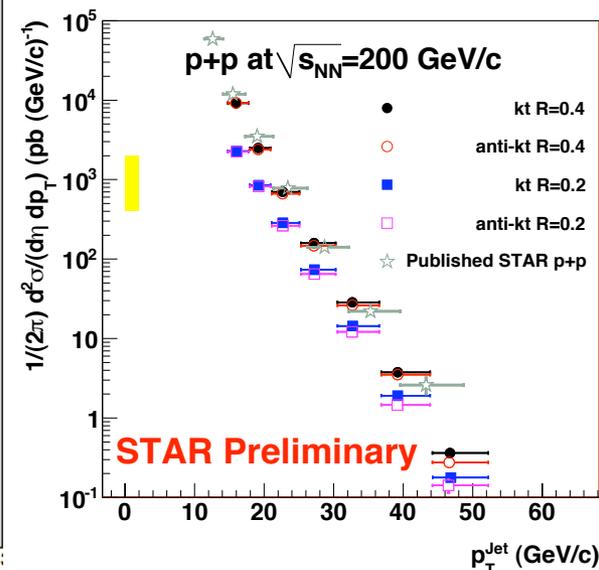
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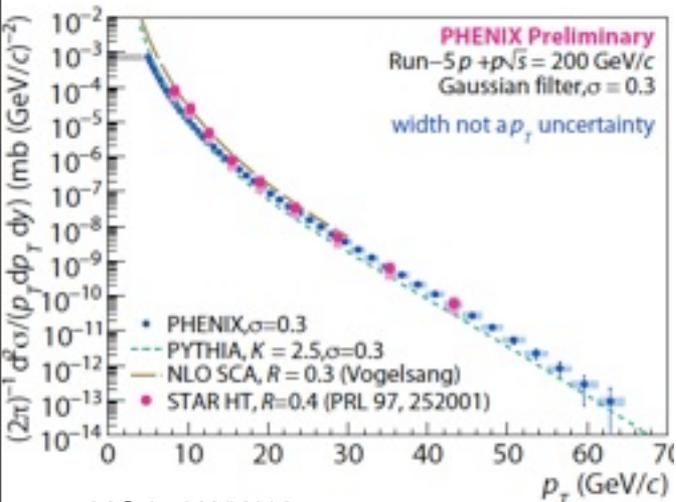


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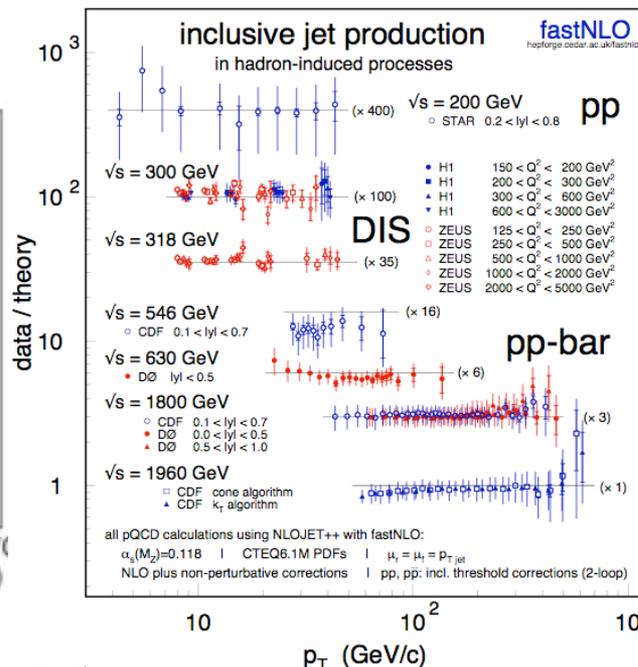


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- What you ask for is what you get

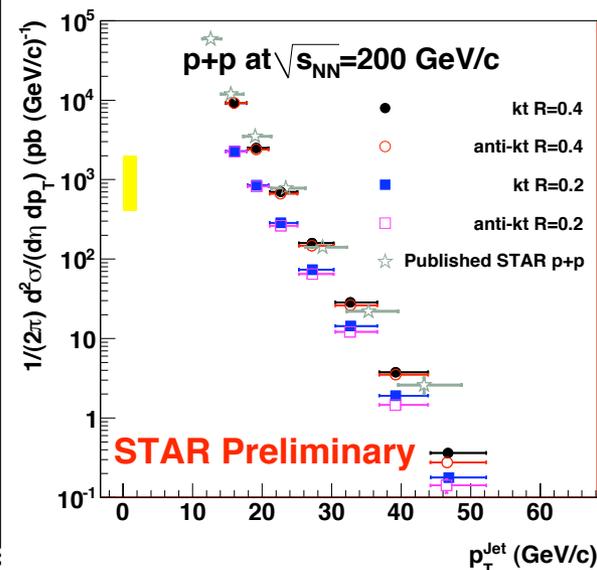
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- Excellent description when included in world data
- What you ask for is what you get

Seem to have a well calibrated probe

# Measuring the underlying event

---

**leading** : Most basic jet cut, one jet in our acceptance

**back-to-back** : Sub-set of **leading** jet collection.

Require  $|\Delta\phi| > 150$ ,  $p_{T\text{Away}}/p_{T\text{Lead}} > 0.7$

Suppresses hard initial and final state radiation.

**TransMin** : Sensitive to beam-beam remnants and soft multiple parton interactions. - region  $90^\circ$  to jet with least  $\Sigma p_T$

**TransMax** : Enhanced probability of containing hard initial and/or final state radiation component. - region  $90^\circ$  to jet with least  $\Sigma p_T$

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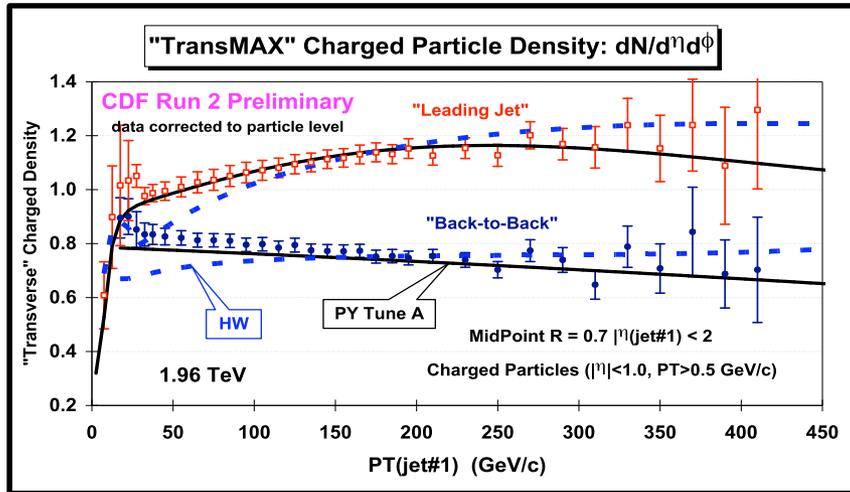
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Compare **TransMin** and **TransMax** data from  
**leading** and **back-to-back** jet samples →

Information about large angle initial/final state radiation.

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# TransMin vs TransMax regions of UE

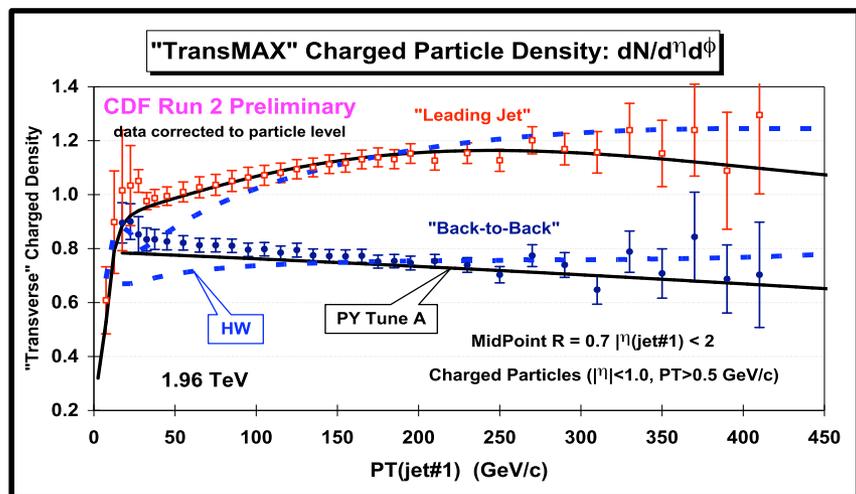


CDF  $\sqrt{s}=1.96$  TeV

- leading TransMax > back-to-back TransMax

Significant initial/final state radiation at large angles.

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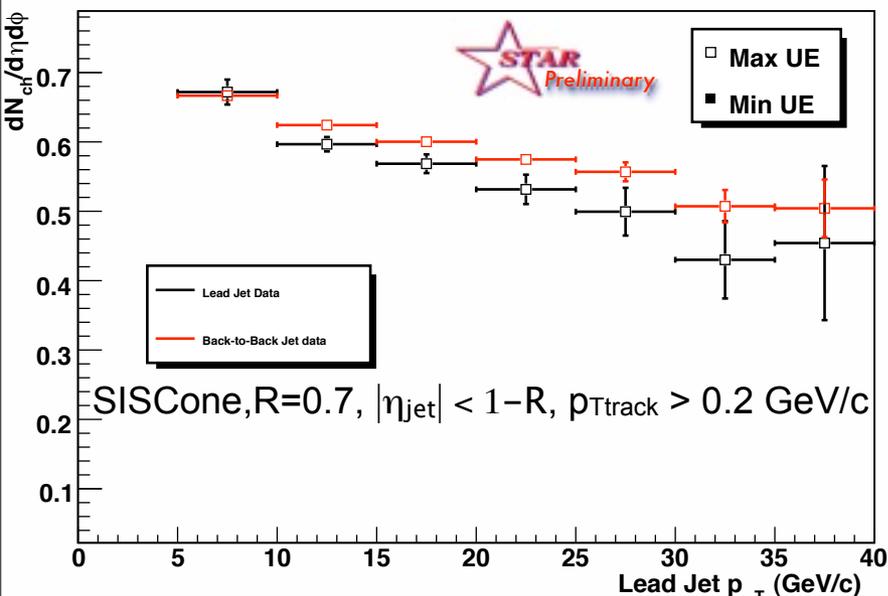
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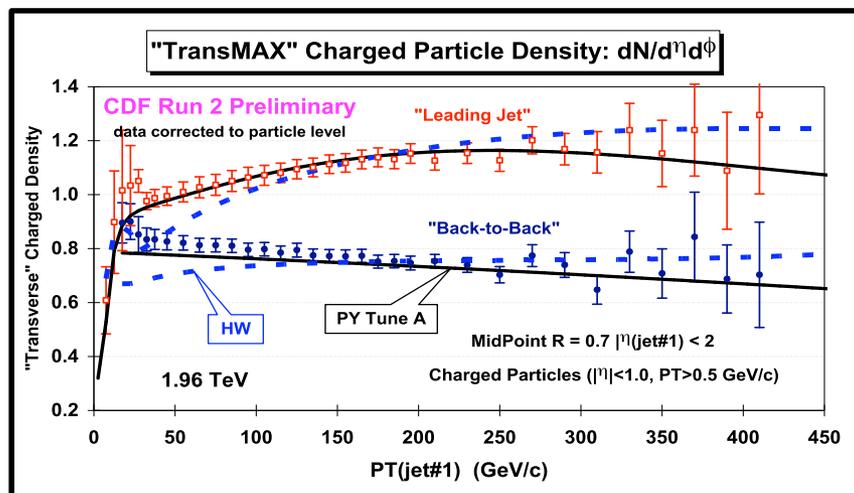
STAR  $\sqrt{s}=200$  GeV

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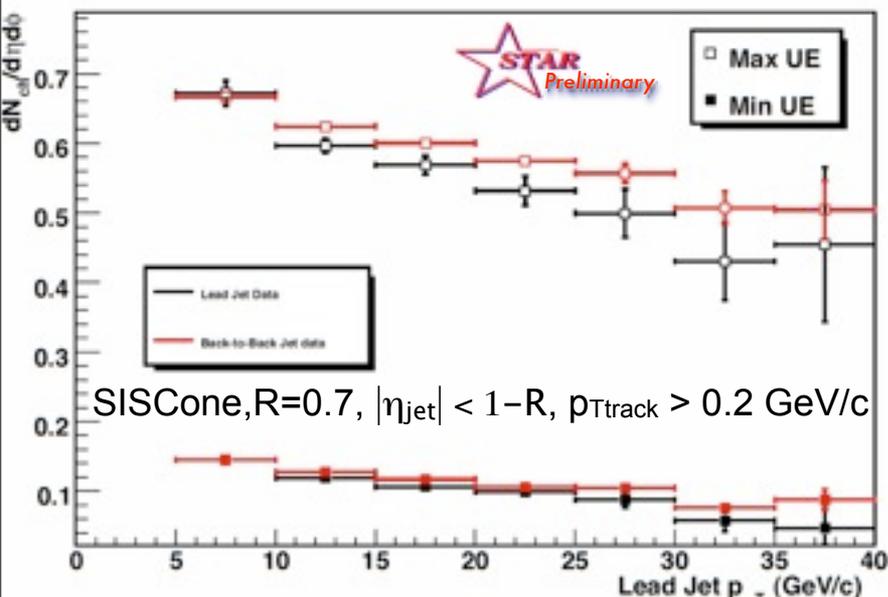
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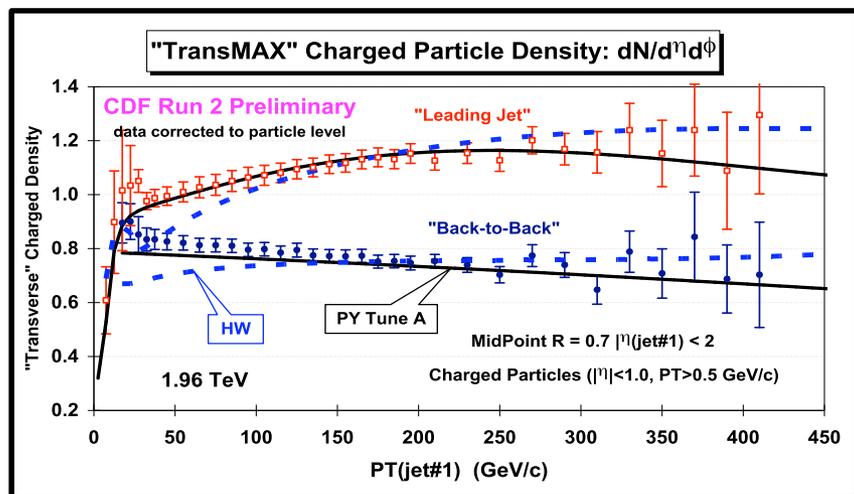
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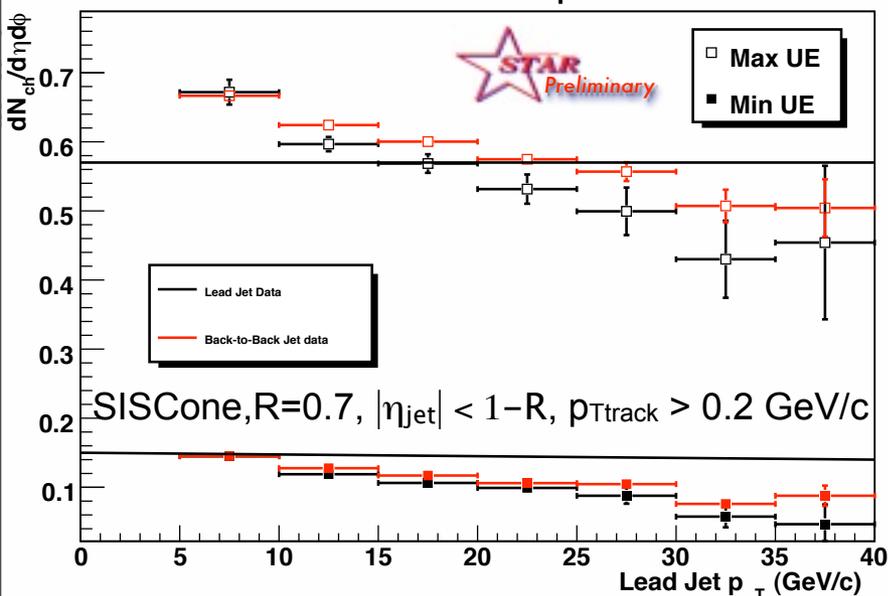
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# TransMin vs TransMax regions of UE



Data not corrected to particle level.



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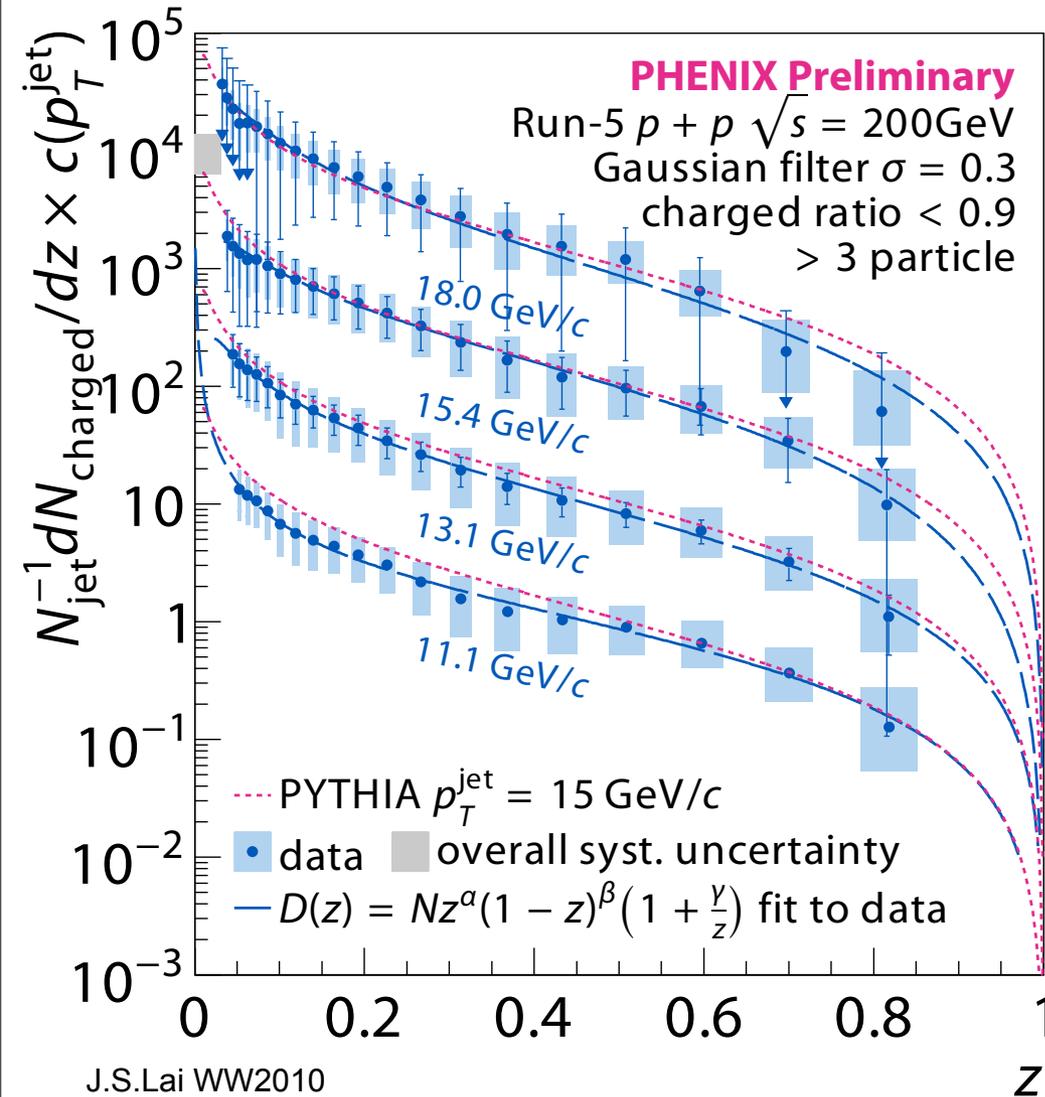
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- TransMax > TransMin

Poisson distribution with average  $dN_{ch}/d\eta d\phi = 0.36$

- UE barely there in p-p

# Fragmentation functions for charged hadrons



- $Z_{\text{max}} \sim 0.81$
- Electrons are rejected
- FF scaled by successive factors of 10

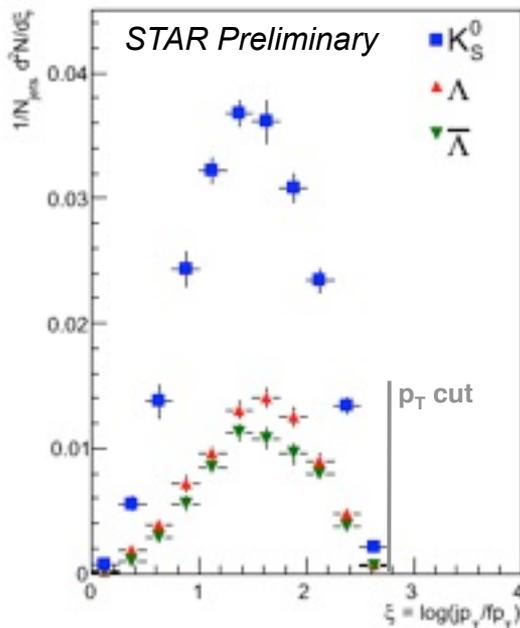
Reasonable agreement between data and PYTHIA

- Similar good agreement has been shown by STAR using  $R=0.4$  and  $0.7$

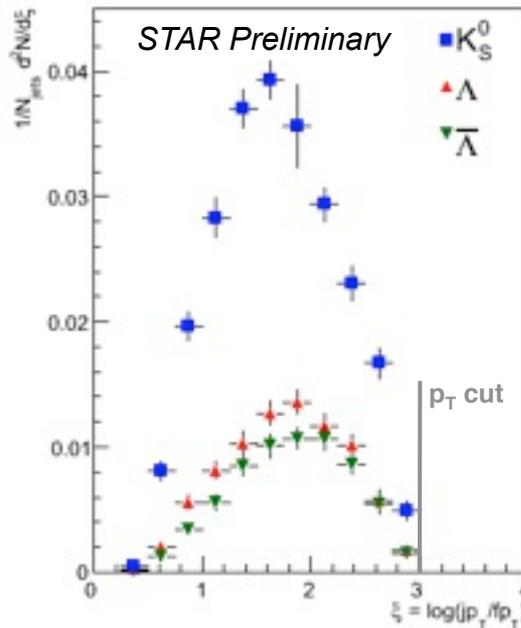
NLO corrections small or accounted for in PYTHIA

# Strange hadron FF

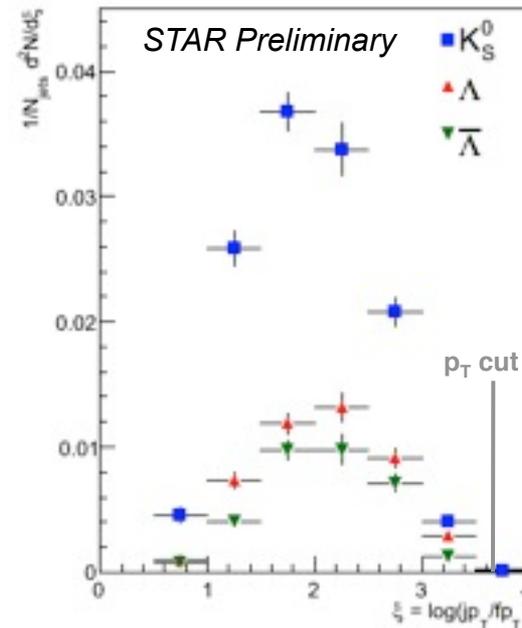
10 < Reco Jet  $p_T$  < 15 GeV/c



15 < Reco Jet  $p_T$  < 20 GeV/c



20 < Reco Jet  $p_T$  < 40 GeV/c

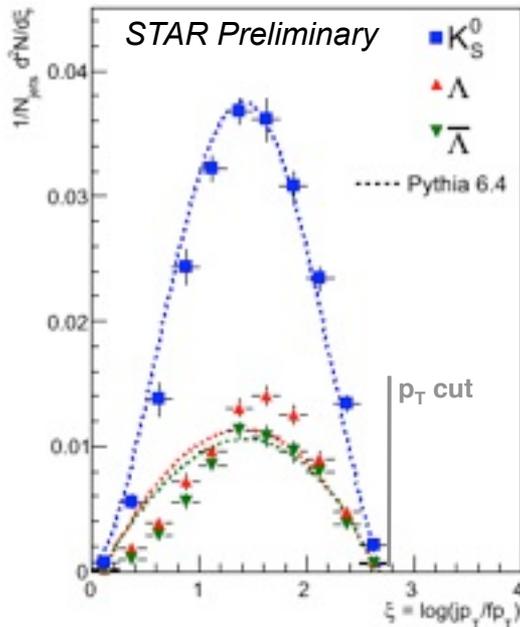


- Data presented at detector level
- Errors estimated from averaging results from  $k_T$ , anti- $k_T$  and SIScone
- V0  $p_T > 1$  GeV/c - artificial cut in distribution

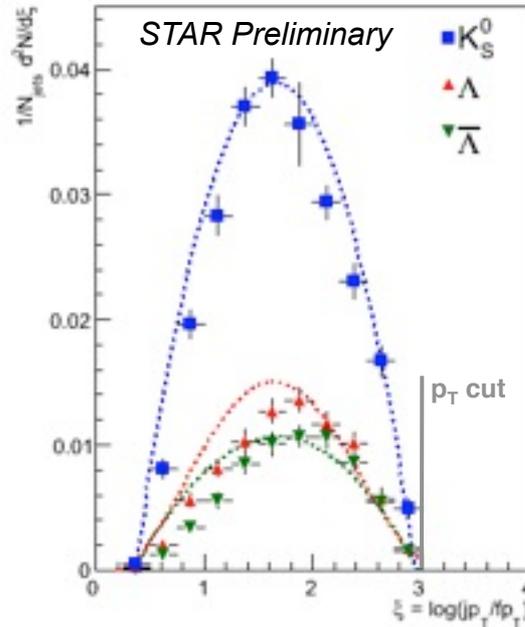
A. Timmins SQM2009

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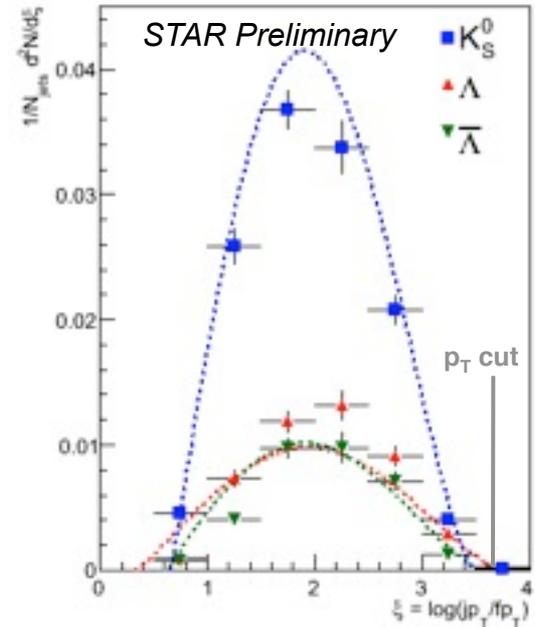
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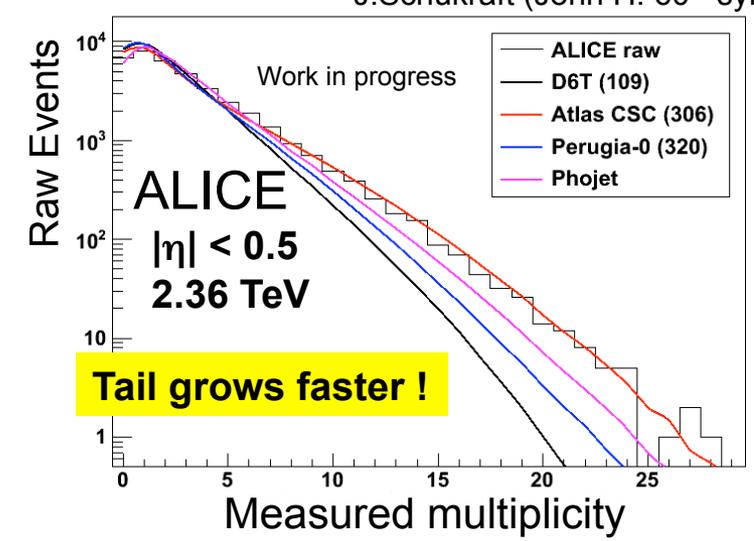
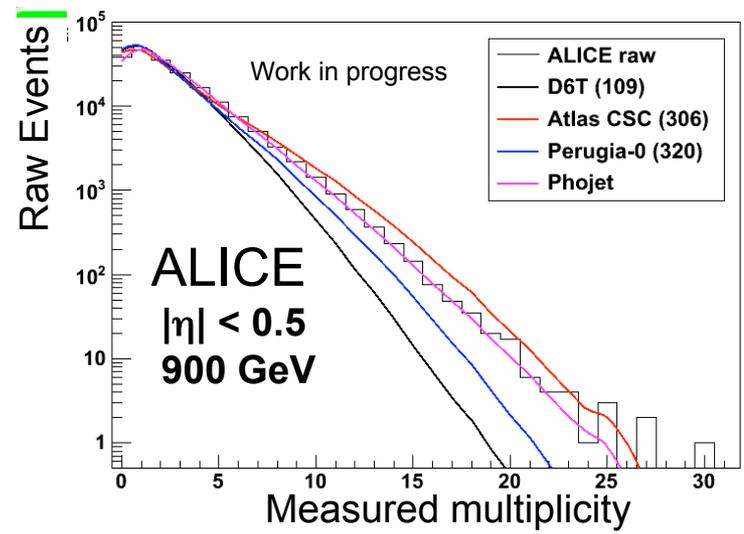
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- PYTHIA = PYTHIA+GEANT

A. Timmins SQM2009

Description of  $K_S^0$  seems better than for  $\Lambda$   
- also true for min-bias  $p_T$  distributions

# p-p production still not very well understood

J.Schukraft (John H. 60<sup>th</sup> symposium)

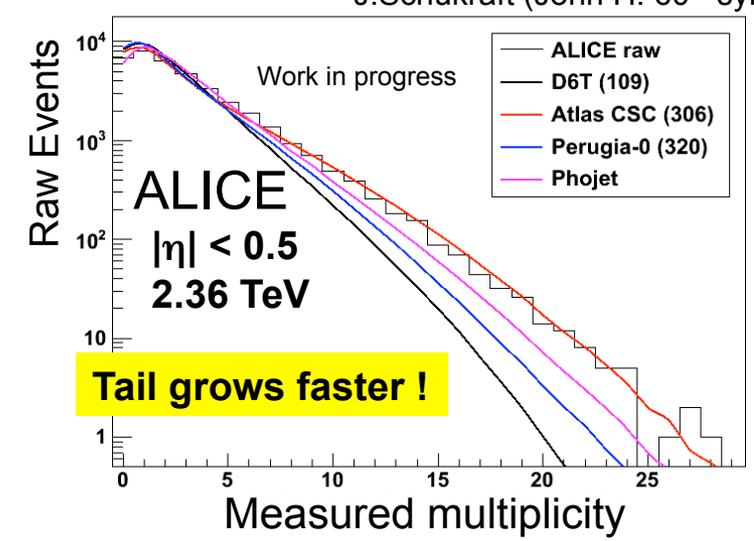
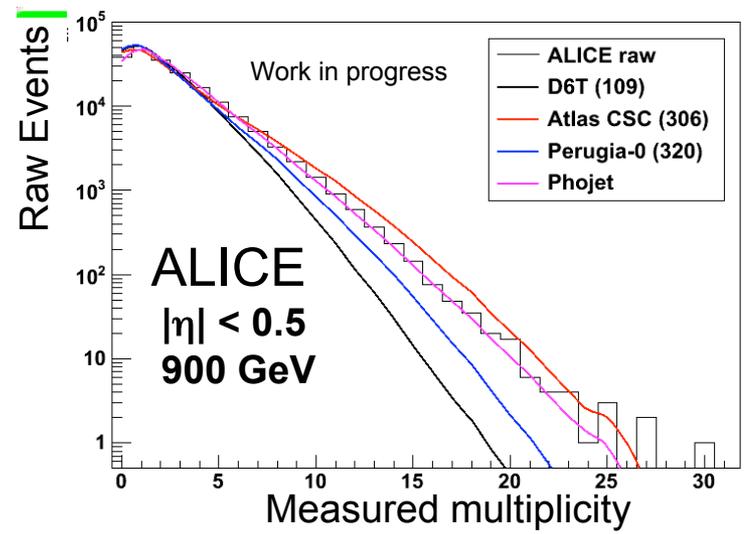


Increase .9 to 2.3 TeV (%)	NSD
ALICE preliminary*	24.0 ± 0.5 ± ? %
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Pythia Perugia-0 (320)	18.5 %
Phojet	14.5 %
QGSM	19 %

- The measured multiplicity grows much faster than models predict
- “Tracklet” analysis
- PYTHIA - Perugia-0 matches RHIC data  
ATLAS CSC - too little UE  
D6T -

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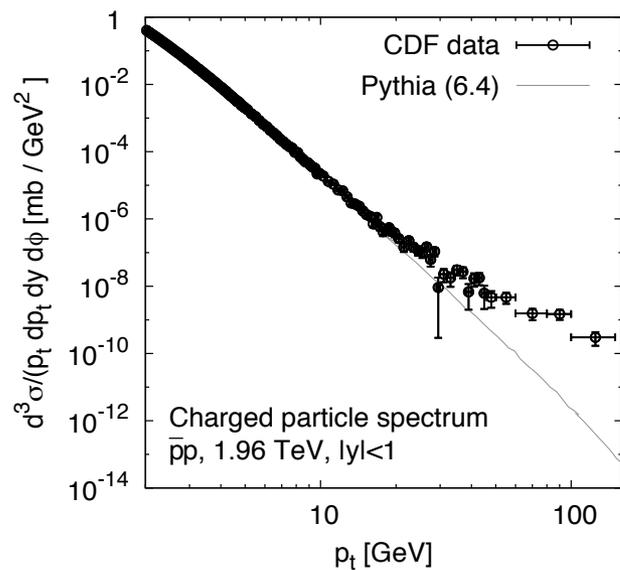
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Increased jet or UE production?

# Need to be alert to inconsistencies

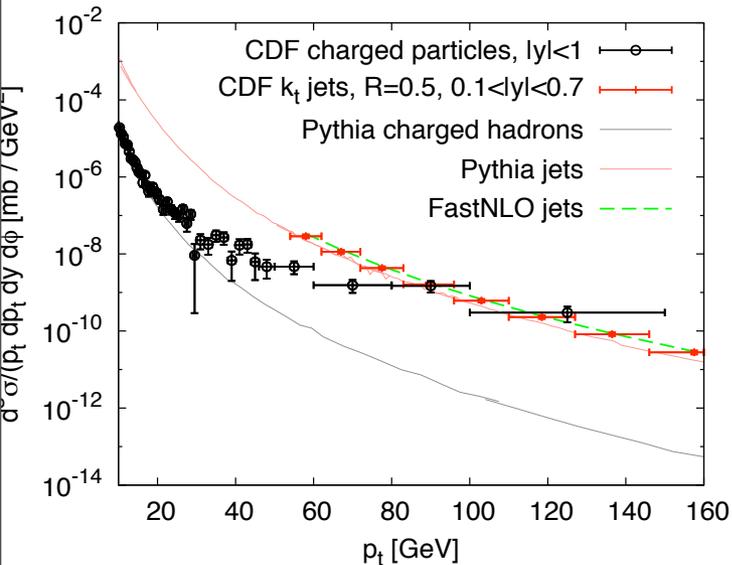
CDF Phys. Rev. D 9 (2009)



- At  $\sim 80$  GeV/c data 3 orders magnitude above PYTHIA

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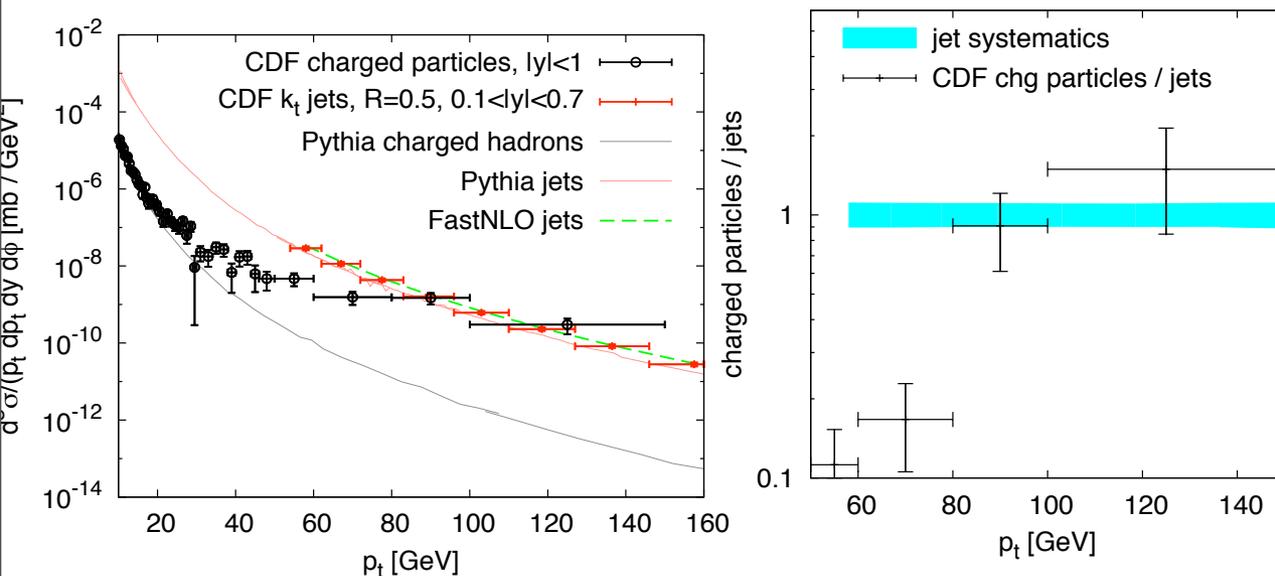
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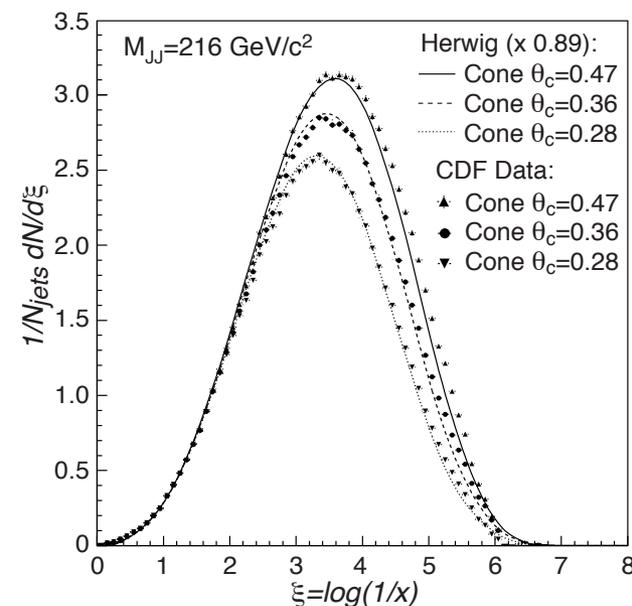
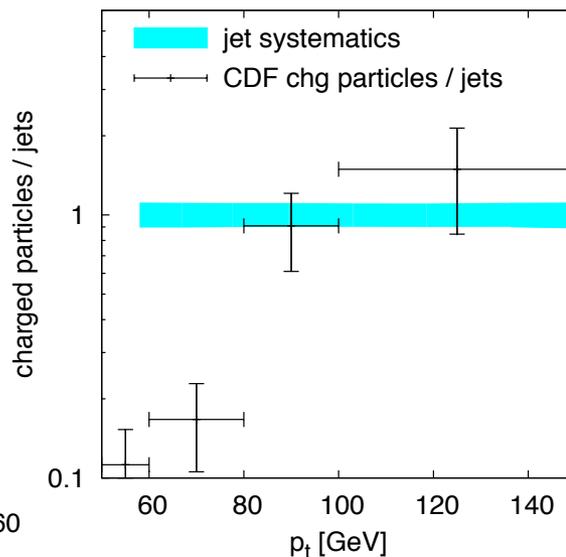
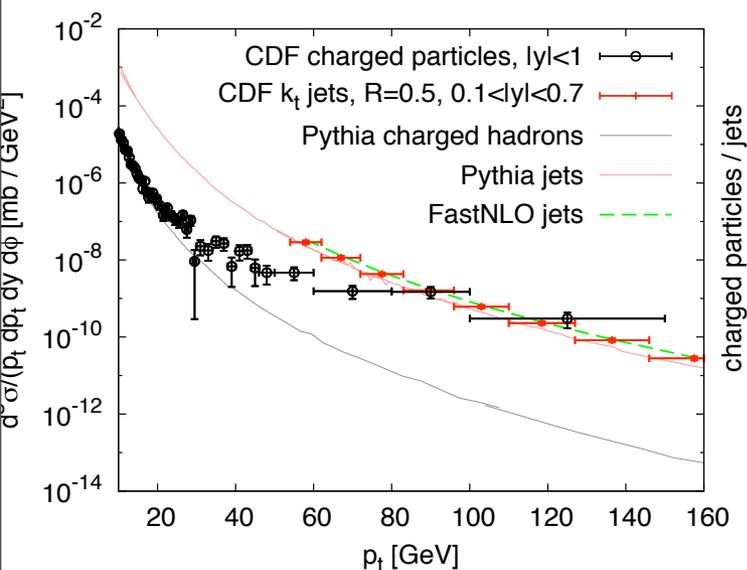
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- Partons appear to violate factorization of fragmentation  
collinear splitting turns off, single particles produced!

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- Partons appear to violate factorization of fragmentation  
collinear splitting turns off, single particles produced!
- Seems in contradiction to di-jet FF measurement

**Either Nobel Prize winning or wrong! to be checked by LHC**

# CNM are small at RHIC

d-Au - Additional smearing due to parton interaction with CNM

$$k_{T,d-au} > k_{T,p-p}$$

$$k_T = p_T(\text{Jet}) \sin(\Delta\Phi)$$

$$\sigma_{k_{T,raw}}(p+p) = 2.8 \pm 0.1 \text{ GeV/c}$$

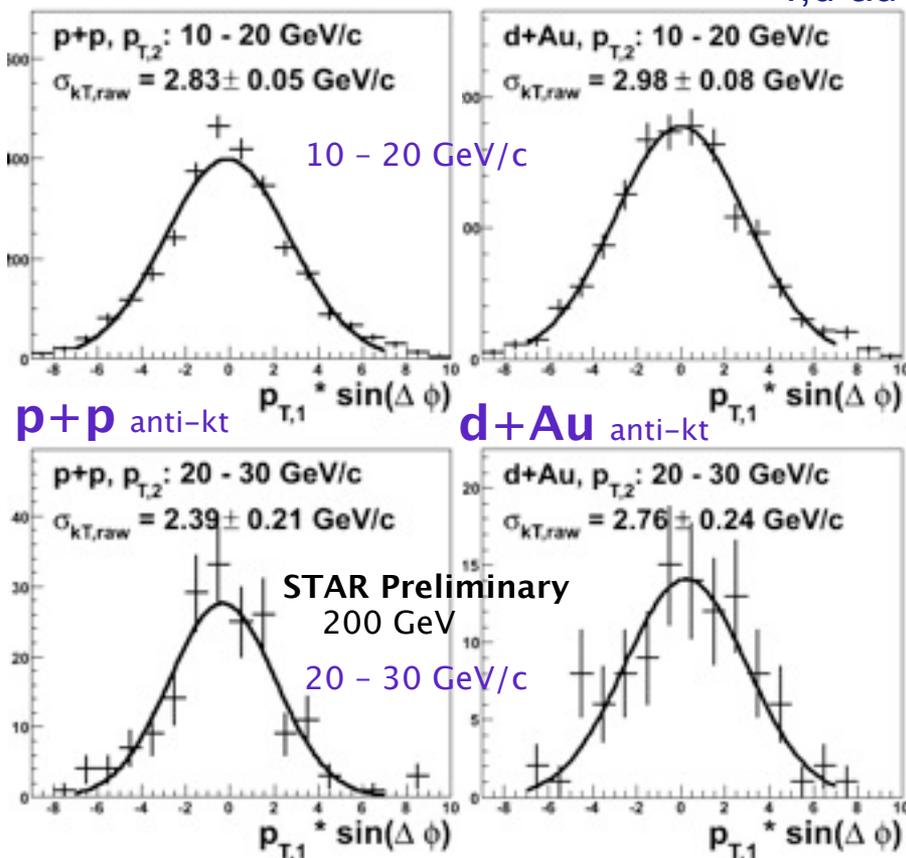
$$\sigma_{k_{T,raw}}(d+Au) = 3.0 \pm 0.1 \text{ GeV/c}$$

$$k_{T,di-hadron}(p-p) = 2.68 \pm 0.07(\text{stat}) \pm 0.34(\text{sys}) \text{ GeV/c}$$

(PHENIX S.S. Adler et al. [Phys. Rev. D 74, 072002 \(2006\)](#).)

- systematic uncertainties under study:
- neglected detector effects  $p_T$ -dependence
  - BEMC calibration and TPC tracking at high luminosity

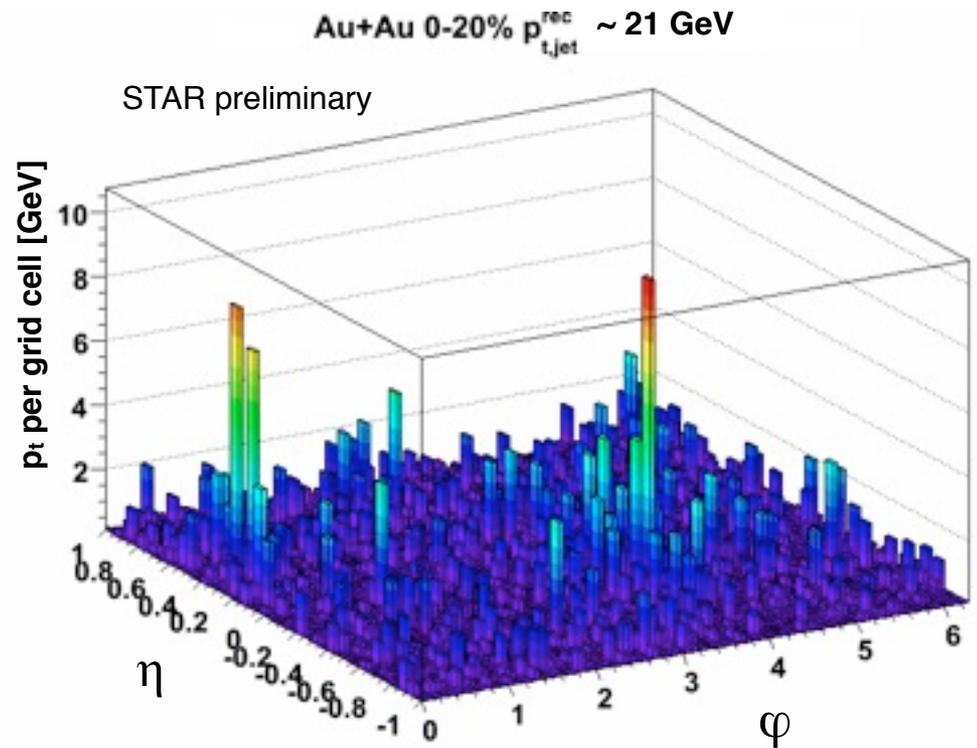
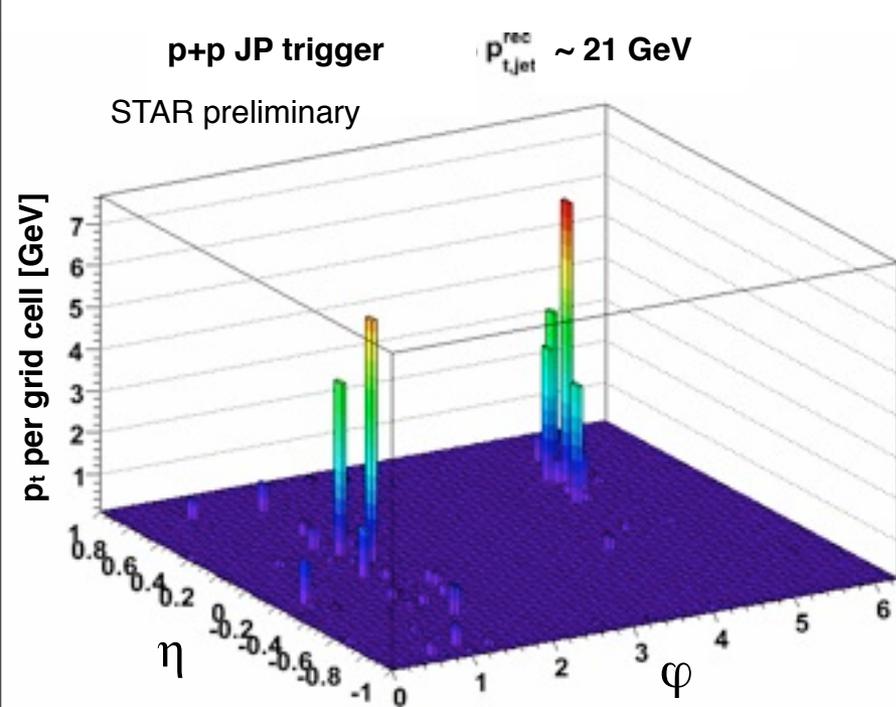
largely correlated between p-p and d-Au



J. Kapitan, EPS HEP 2009

There are some CNM effects - Cronin enhancement seen in d-Au spectra

# A-A - now things get really complicated



- One can at least see some jets if you plot summed  $p_T$

Now have to deal with the background

# Au-Au - the underlying event

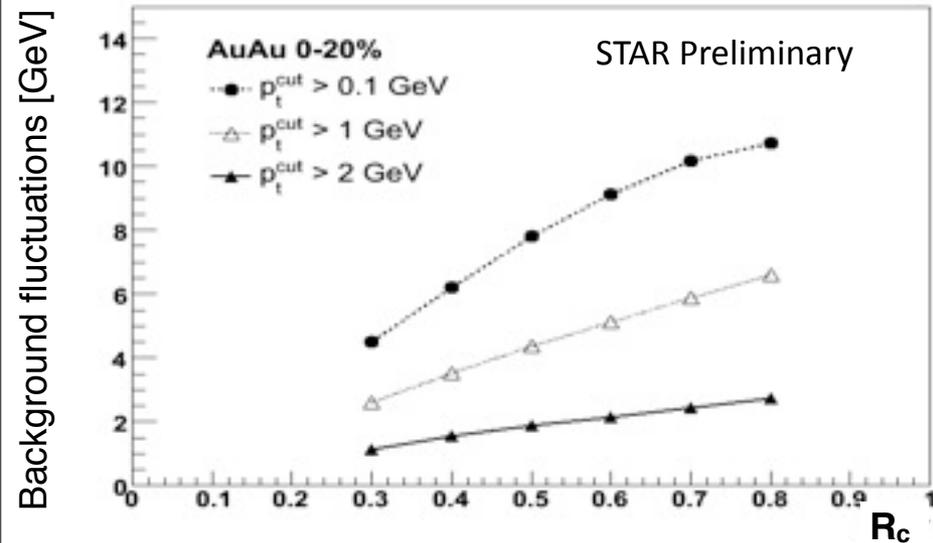
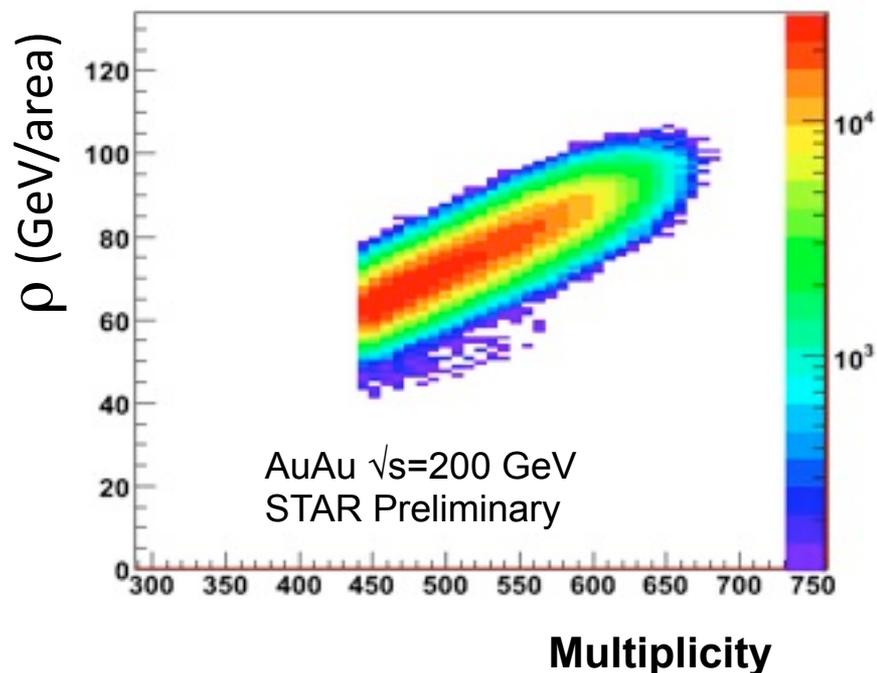
$$p_T (\text{Jet Measured}) \sim p_T (\text{Jet}) + \rho A \pm \sigma(A)$$

Background E (from Fastjet algo.)

$$\rho A \sim 45 \text{ GeV}, R_C=0.4$$

Substantial region-to-region fluctuations  $\sigma(A)$

Gaussian approx:  $\sim 6-7 \text{ GeV}$  ( $R_C=0.4$ )



- Average background energy can be corrected on an event-by-event basis
- Fluctuations only corrected for statistically via unfolding

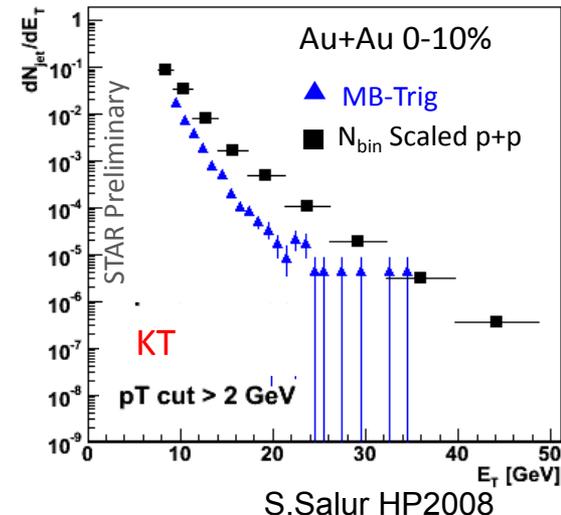
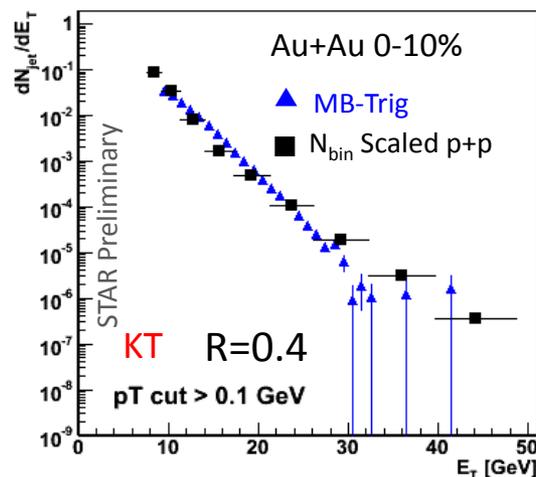
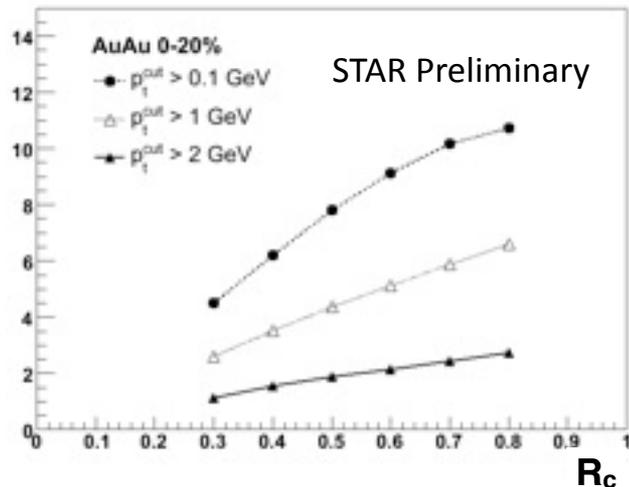
What about fake jets?

# There are no short cuts

Anti-quenching biases are hiding everywhere!

- triggering on high  $p_T$  particles  $\Rightarrow$  bias towards non-interacting jets

Background fluctuations [GeV]



- $p_T$  cut reduces background  $\Rightarrow$  bias towards non-interacting jets
- Assumptions about jet shape in finding  $\Rightarrow$  bias towards non-interacting jets

Lesson learned: **There are NO short cuts**  
Essentially every cut applied introduces measurement bias

Have to fully understand background to make progress

# Closer look at the fluctuations

---

Schematically Au-Au jet spectrum:  $\frac{d\sigma_{AA}}{dp_T} = \frac{d\sigma_{pp}}{dp_T} \otimes F(A, p_T)$

$F(A, p_T)$  - investigation in data via jets with  $p_T - p_A < 0$  - assume symmetric distribution (i.e. Gaussian a la FastJet)

$$F(A, p_T) = \text{Poisson}(M(A)) \otimes \Gamma(M(A), \langle p_T \rangle)$$

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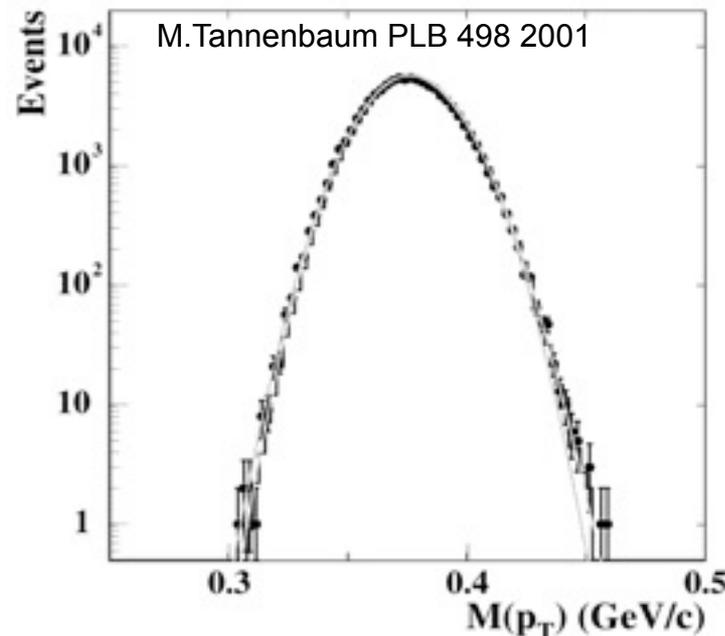
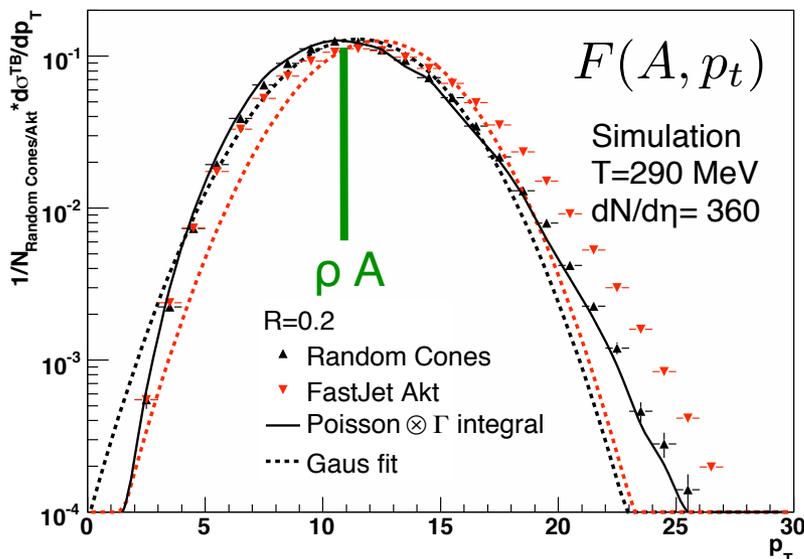
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If background is independently distributed particles:

number fluct  $\sim$  Poisson distribution

$\langle p_T \rangle$  fluct for fixed  $M \sim$  Gamma function

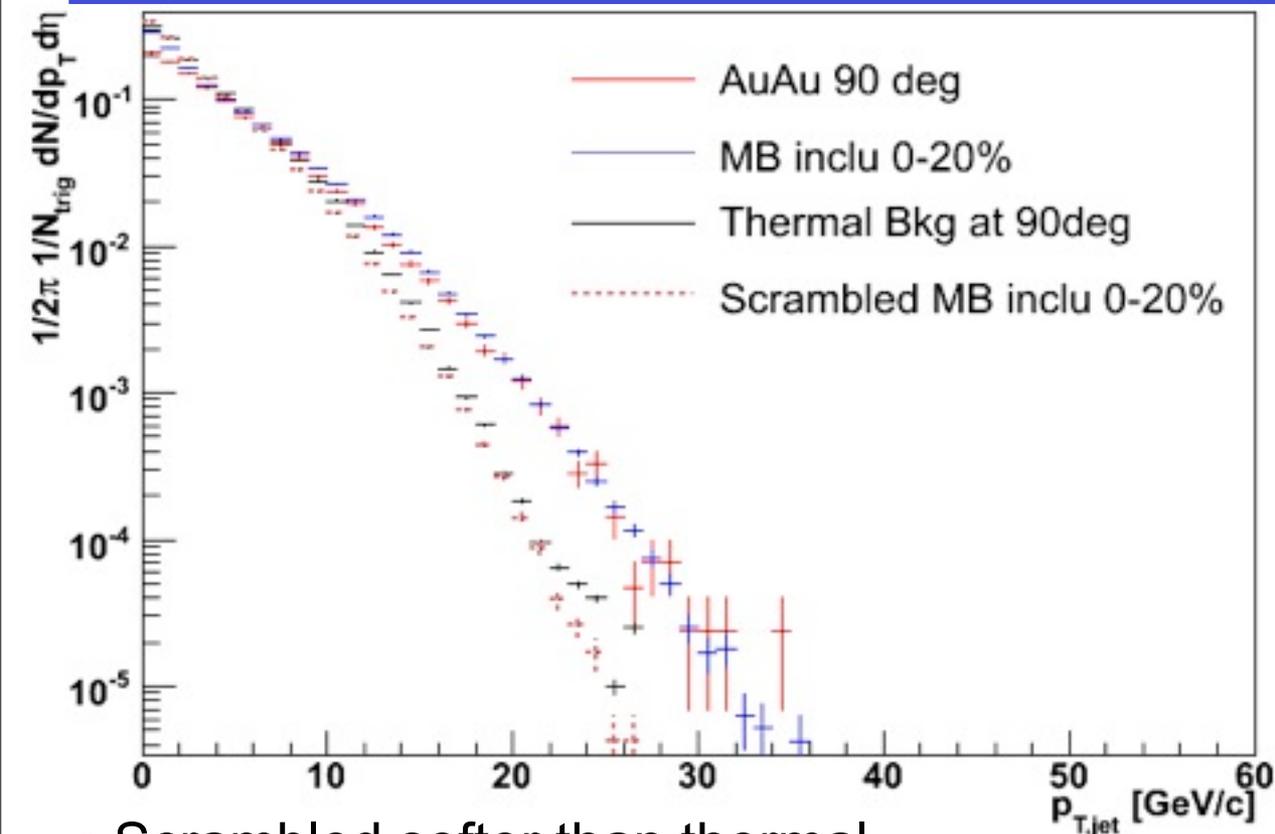
$$F(A, p_T) = \text{Poisson}(M(A)) \otimes \Gamma(M(A), \langle p_T \rangle)$$



$F(A, p_T)$  - No longer symmetric AND clustering algorithm affects results - not random

Non-trivial issue, further studies actively being pursued, but we have all the tools!

# Thermal simulation compared to scrambling event



- Scrambled softer than thermal
  - naively expect harder as jet particles still there
  - need to implement tracking efficiency in thermal toy
- Per trigger jet normalized  $90^\circ$  spectrum  $\sim$  Per event Min-bias spectrum

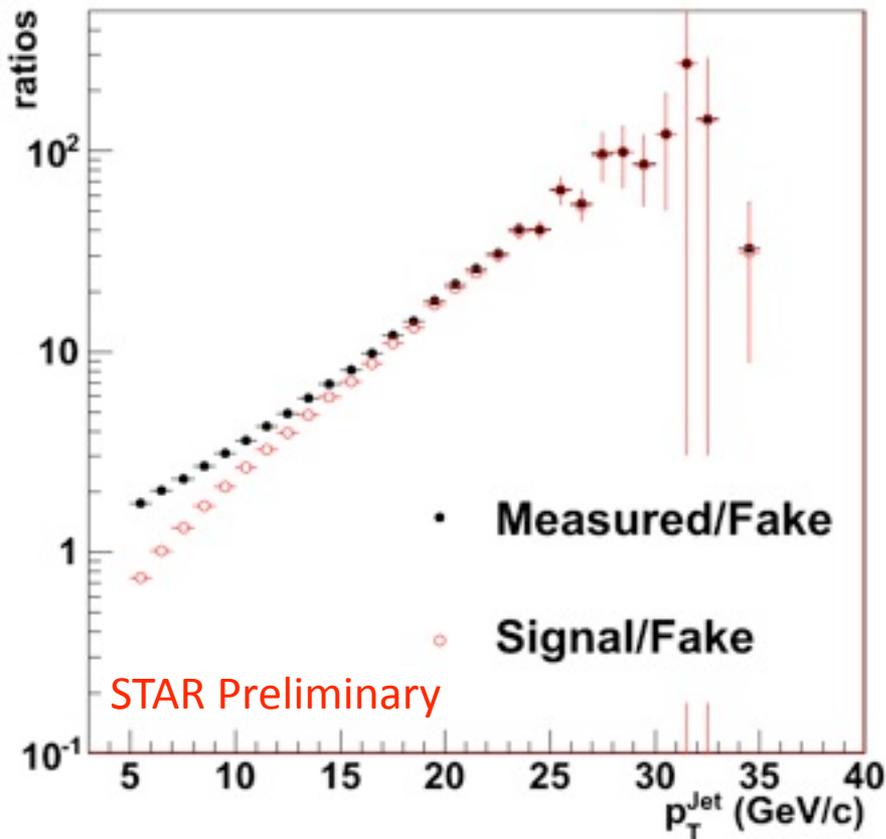
Significant rate for two (or more) hard scatterings in Au-Au event

# Fake jet rate in a Au-Au event

Definition: “Fake” jet - signal in excess of background model from random association of uncorrelated soft particles (i.e. not due to hard scattering)

Estimating the “Fake” jet rate:

- Use the real data - Au+Au dataset
- Run jet finder
- (Remove leading particle from each found jet if  $p_T > X$  GeV/c)
- Randomize azimuth of each charged particle and calorimeter tower
- Re-run jet finder

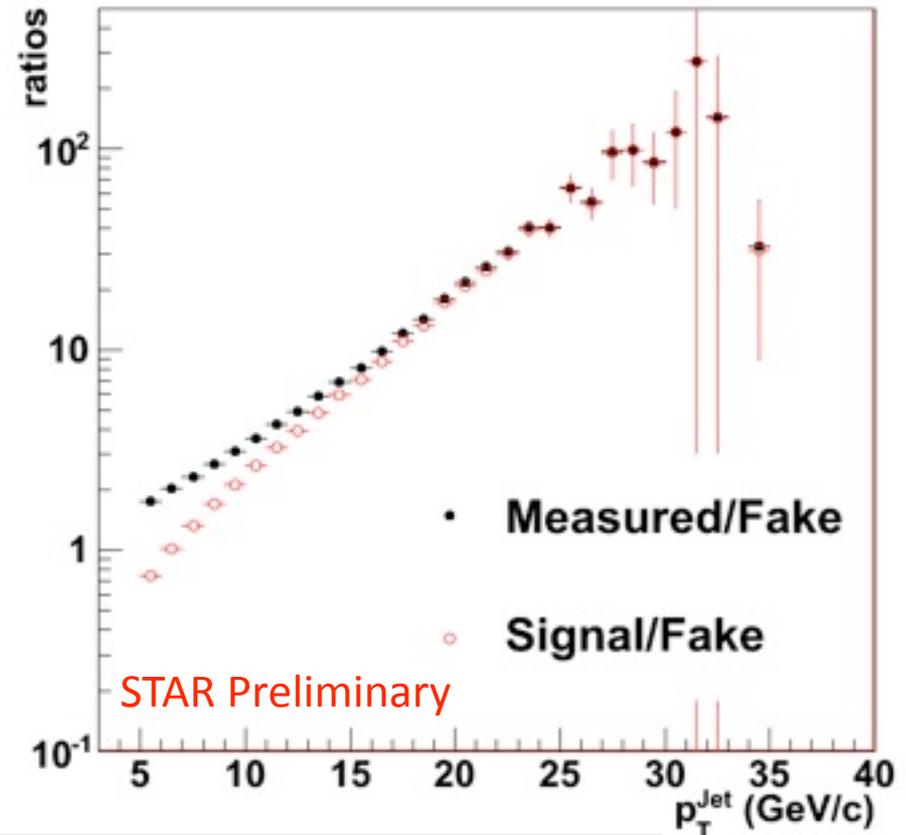


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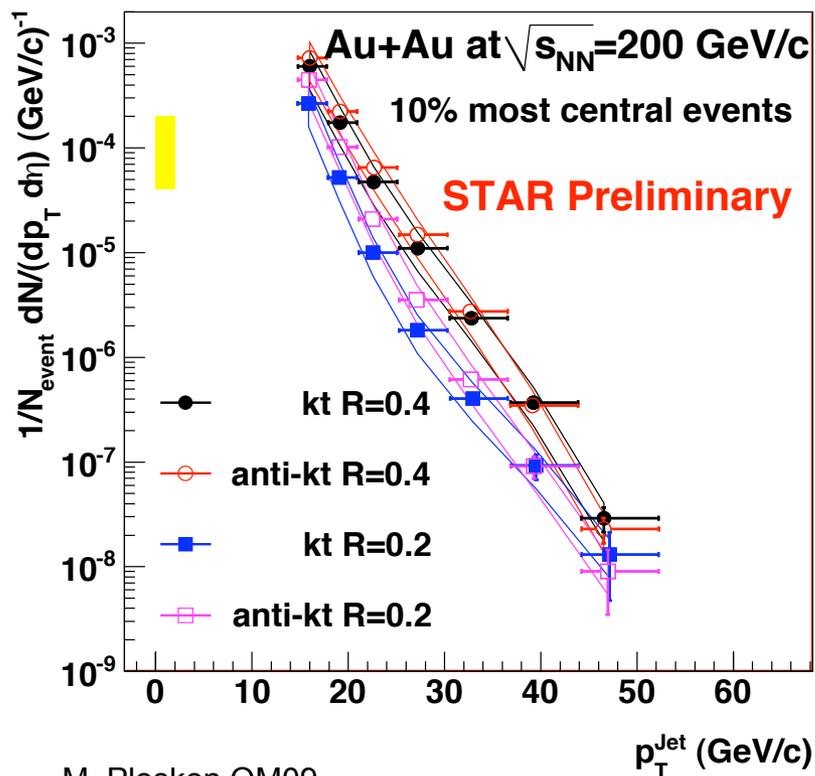
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- Run jet finder
- (Remove leading particle from each found jet if  $p_T > X$  GeV/c)
- Randomize azimuth of each charged particle and calorimeter tower
- Re-run jet finder



Note: PHENIX have direct rejection of fakes via algorithm

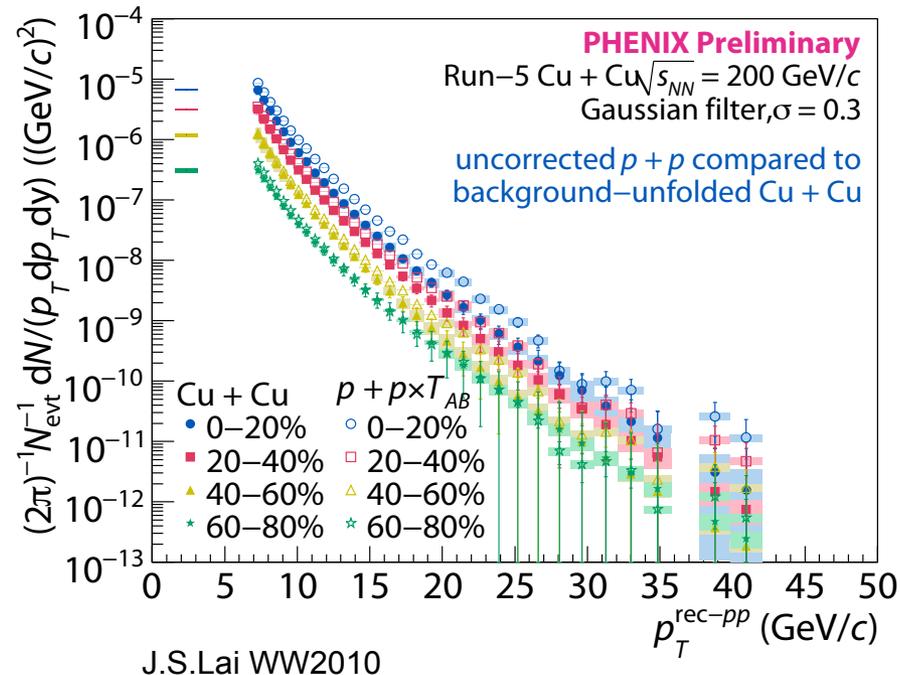
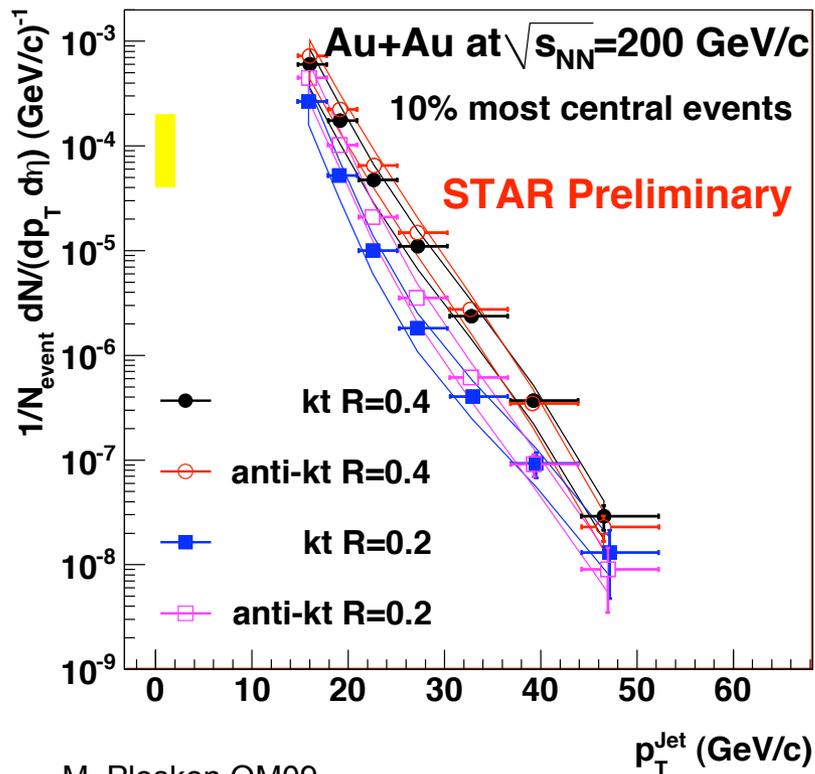
# A-A Jet spectrum



M. Ploskon QM09

First measurement of jet cross-section in heavy-ion experiments

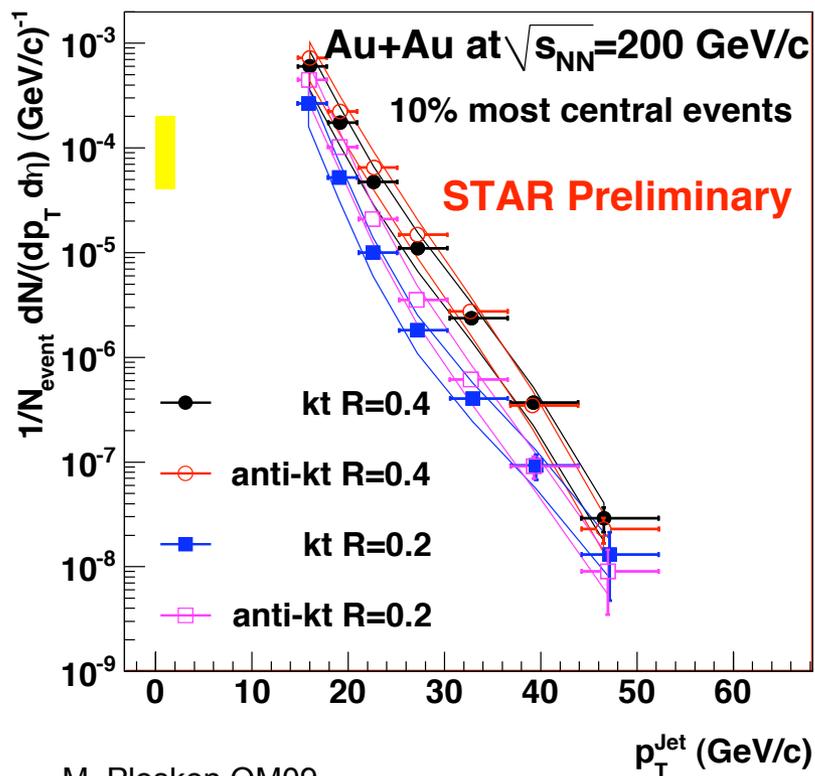
# A-A Jet spectrum



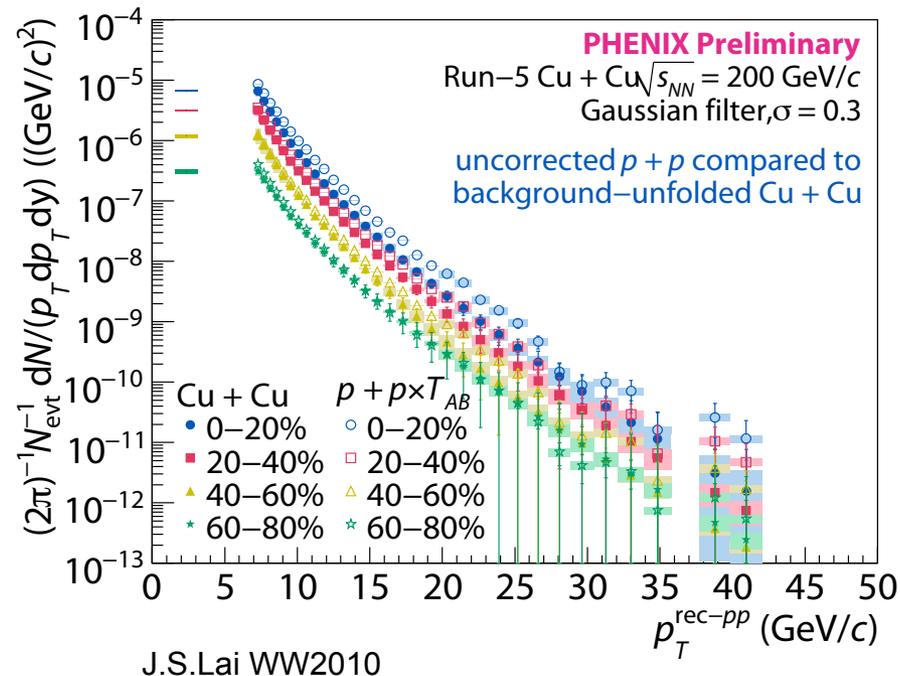
First measurement of jet cross-section in heavy-ion experiments

PHENIX also able to make very impressive measurements

# A-A Jet spectrum



M. Ploskon QM09



First measurement of jet cross-section in heavy-ion experiments

PHENIX also able to make very impressive measurements

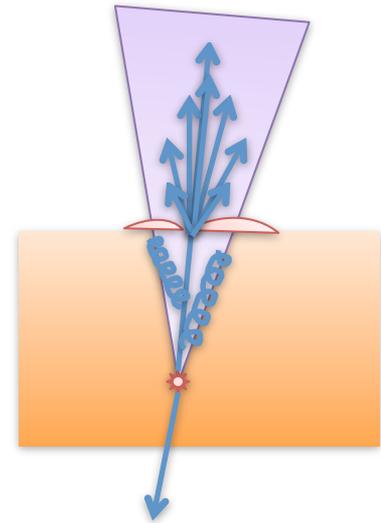
Compare to  $p-p$  and/or look at fragmentation to learn something

# $R_{AA}$ expectations

---

If we have succeeded in fully capturing all jet energy

Jet  $R_{AA} = 1$   
Fragmentation function modified



# $R_{AA}$ expectations

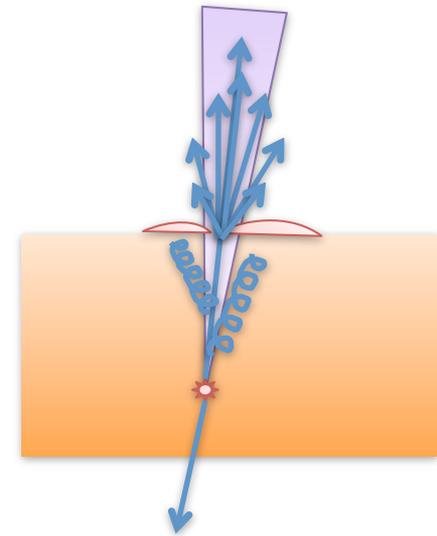
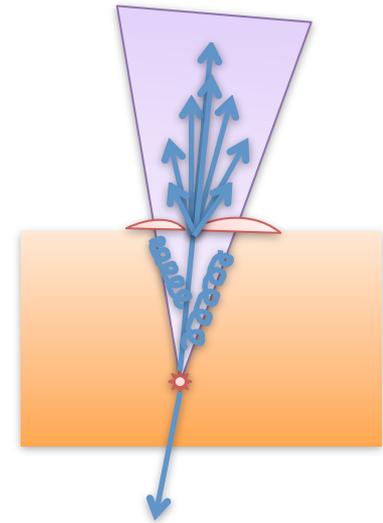
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However, we run with fixed (and small)  $R$  and may miss some particles/energy flow

Jet  $R_{AA} < 1$   
Fragmentation function potentially unmodified



# $R_{AA}$ expectations

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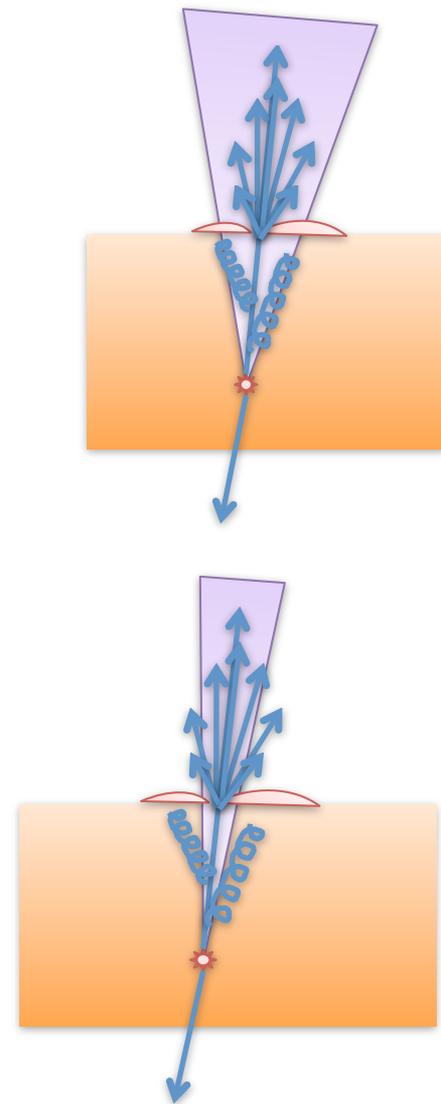
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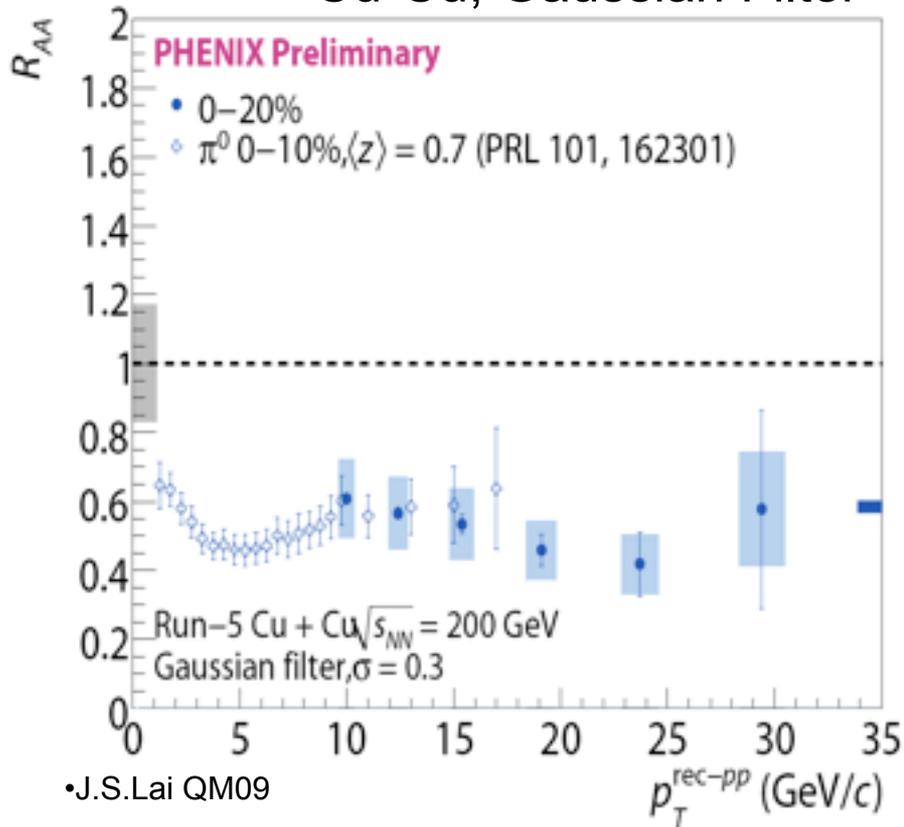
Jet  $R_{AA} < 1$   
Fragmentation function potentially unmodified

Essential to run and compare with different radii



# Jet $R_{AA}$

## Cu-Cu, Gaussian Filter

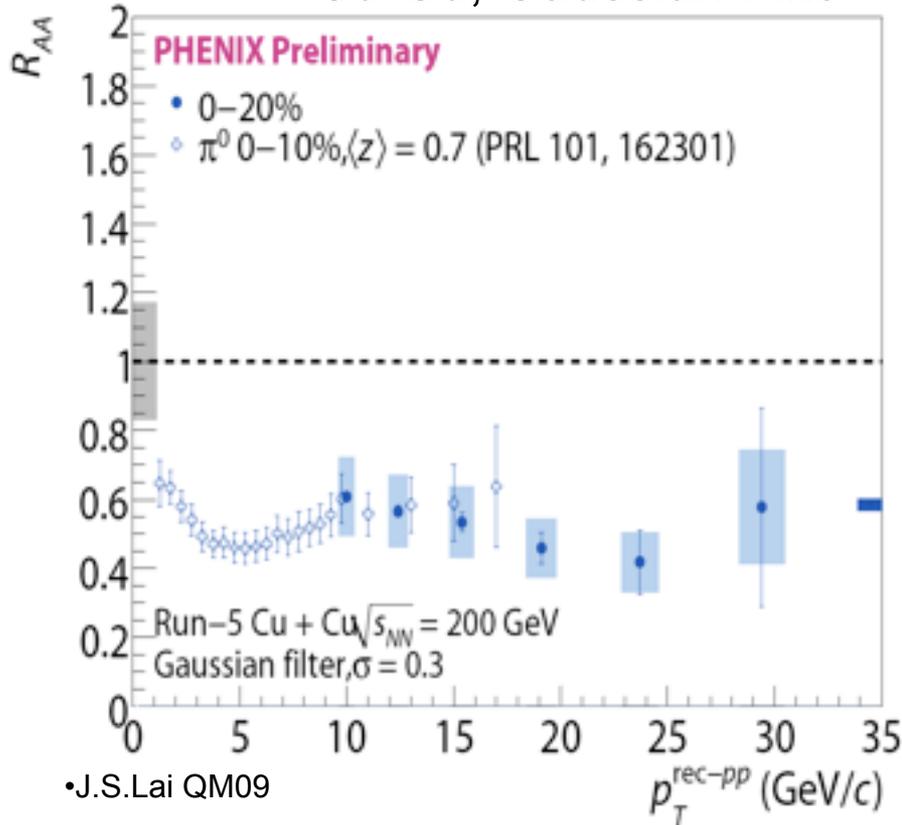


• J.S.Lai QM09

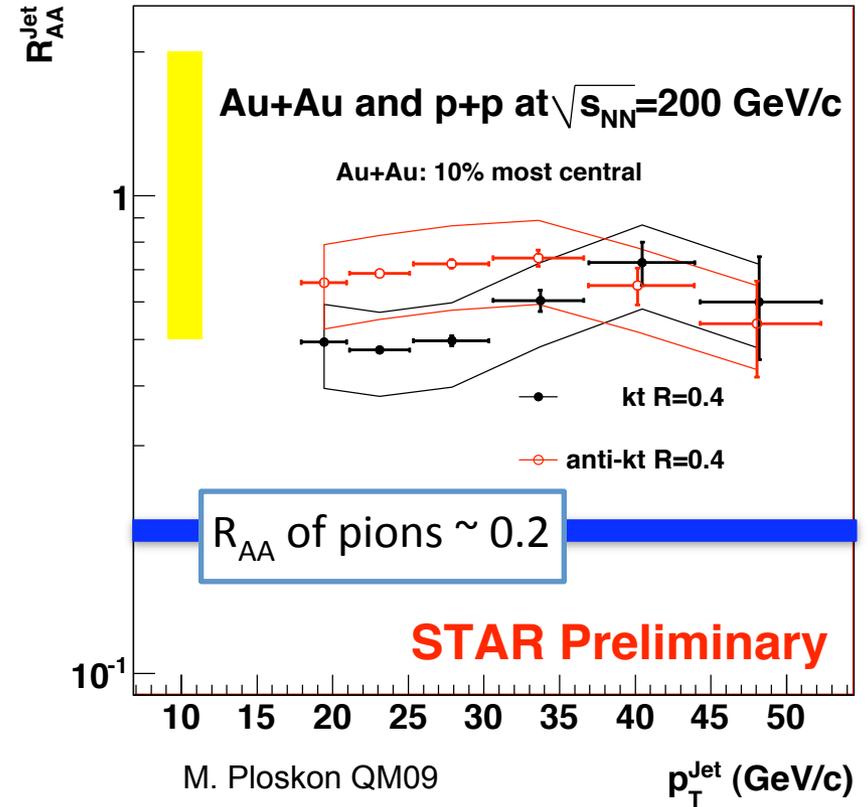
- Jet  $R_{AA}$  = single hadron  $R_{AA}$  - (Gauss filt)

# Jet $R_{AA}$

Cu-Cu, Gaussian Filter



Au-Au, R=0.4

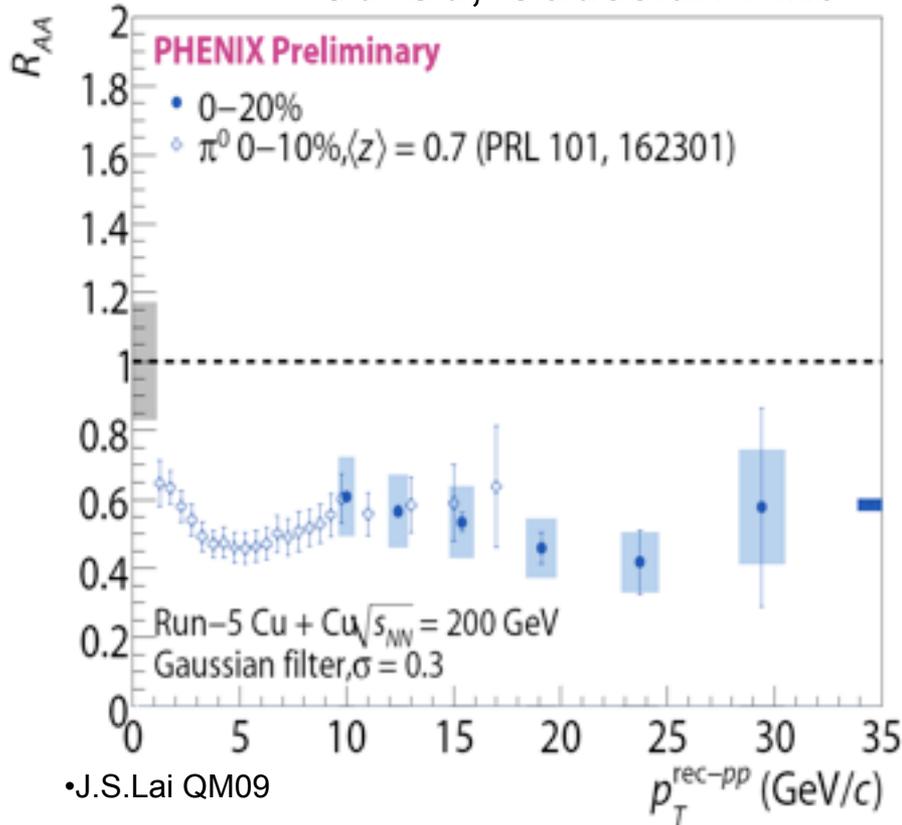


- Jet  $R_{AA}$  = single hadron  $R_{AA}$  - (Gauss filt)
- Jet  $R_{AA} < 1$  ( $R=0.4$ )
- Jet  $R_{AA} >$  single hadron  $R_{AA}$  ( $R=0.4$ )

Algorithms fail to recover full jet cross-section

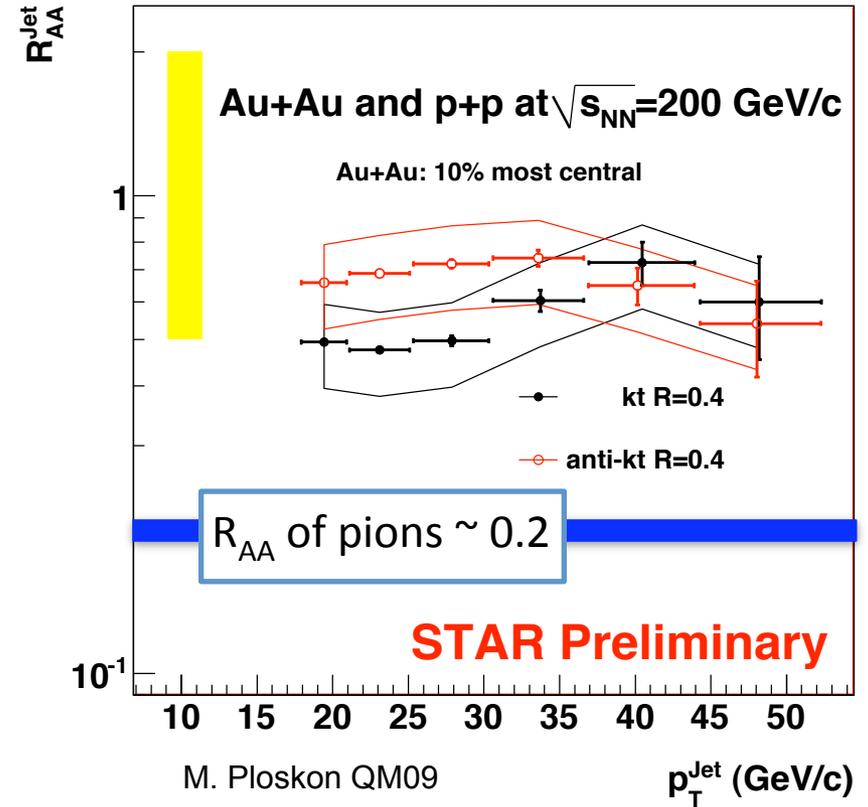
# Jet $R_{AA}$

## Cu-Cu, Gaussian Filter



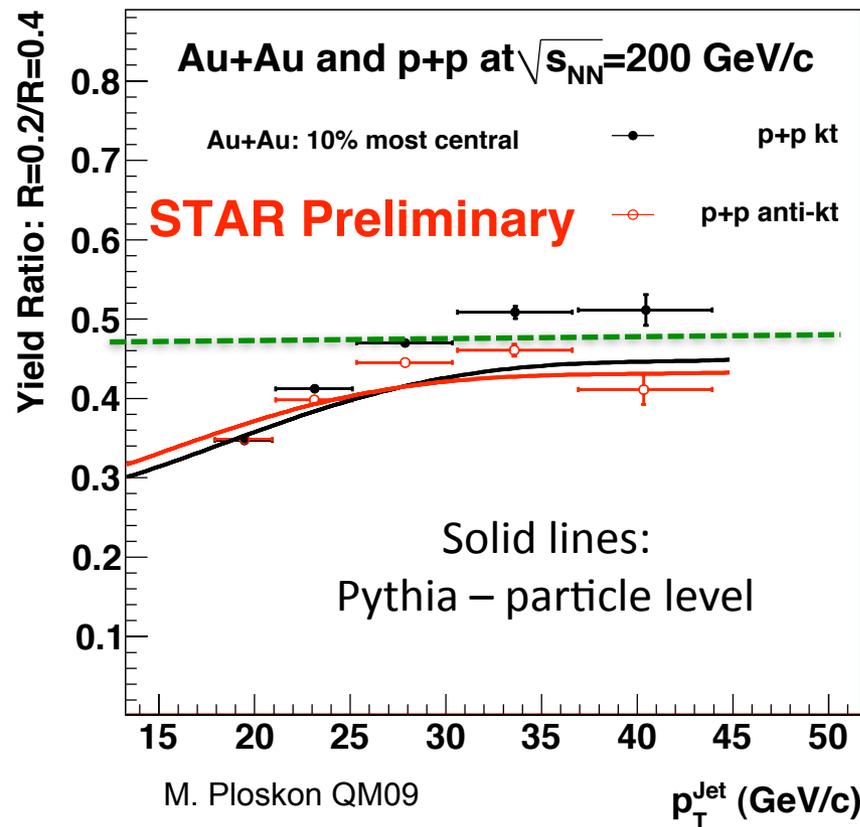
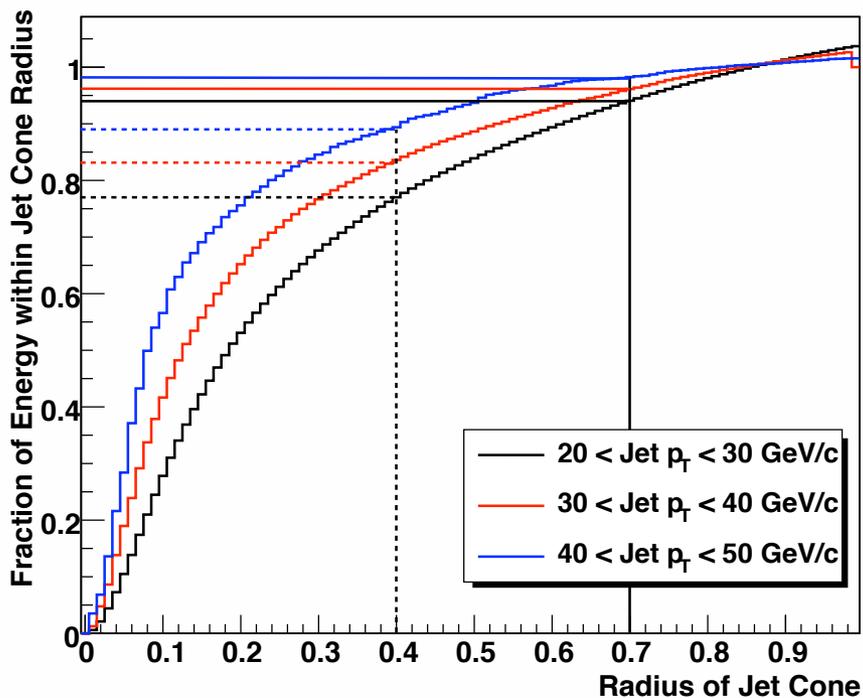
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- Algorithms fail to recover full jet cross-section

## Au-Au, $R=0.4$



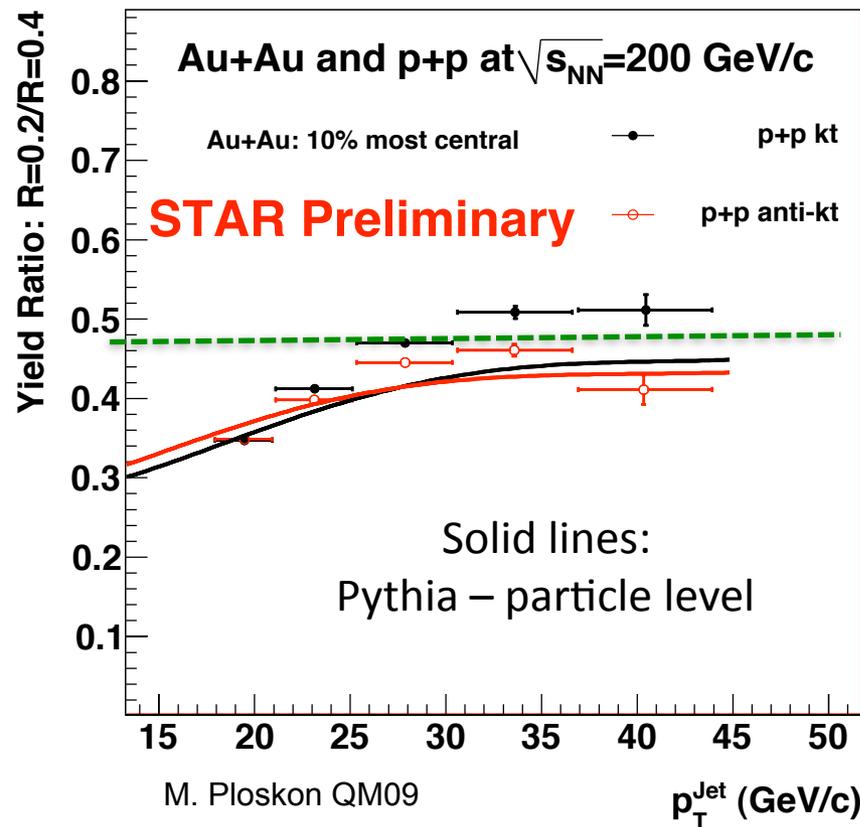
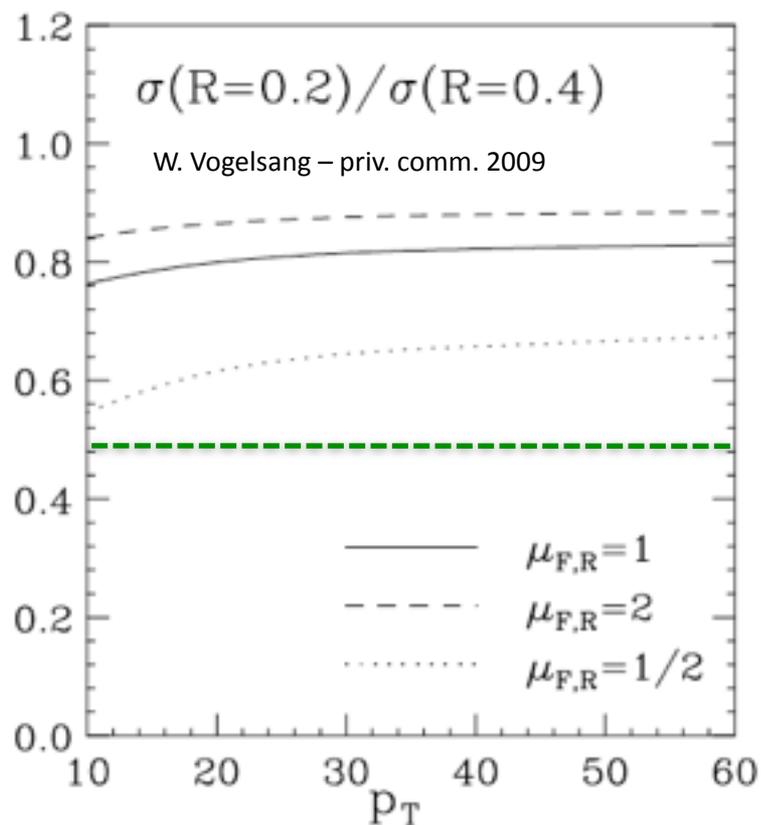
Broadening of distribution of jet fragments compared to p-p

# R=0.2 compared to R=0.4 - p-p



- Jets become focussed as  $p_T$  increases -  $R=0.2/R=0.4$  increases with jet  $p_T$
- PYTHIA (including fragmentation+hadronization) describes the data

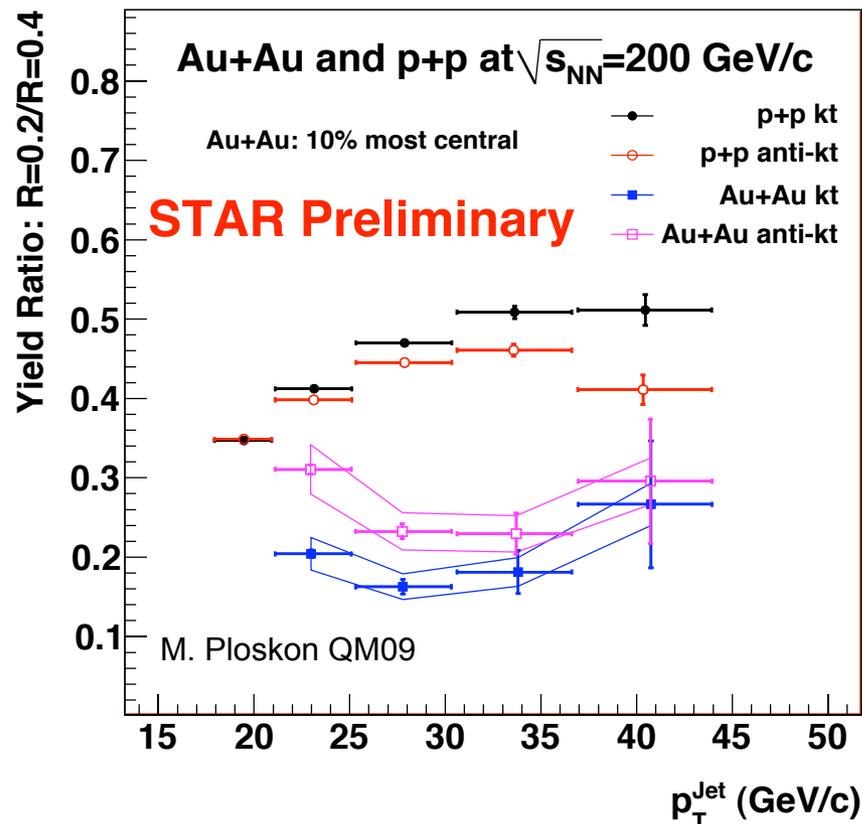
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- Jets become focussed as  $p_T$  increases -  $R=0.2/R=0.4$  increases with jet  $p_T$
- PYTHIA (including fragmentation+hadronization) describes the data

**NLO fails - Suggests fragmentation and/or hadronization broaden jet**

# R=0.2 compared to R=0.4 - Au-Au



- Au-Au ratio significantly lower than in p-p

# R=0.2 compared to R=0.4 - Au-Au

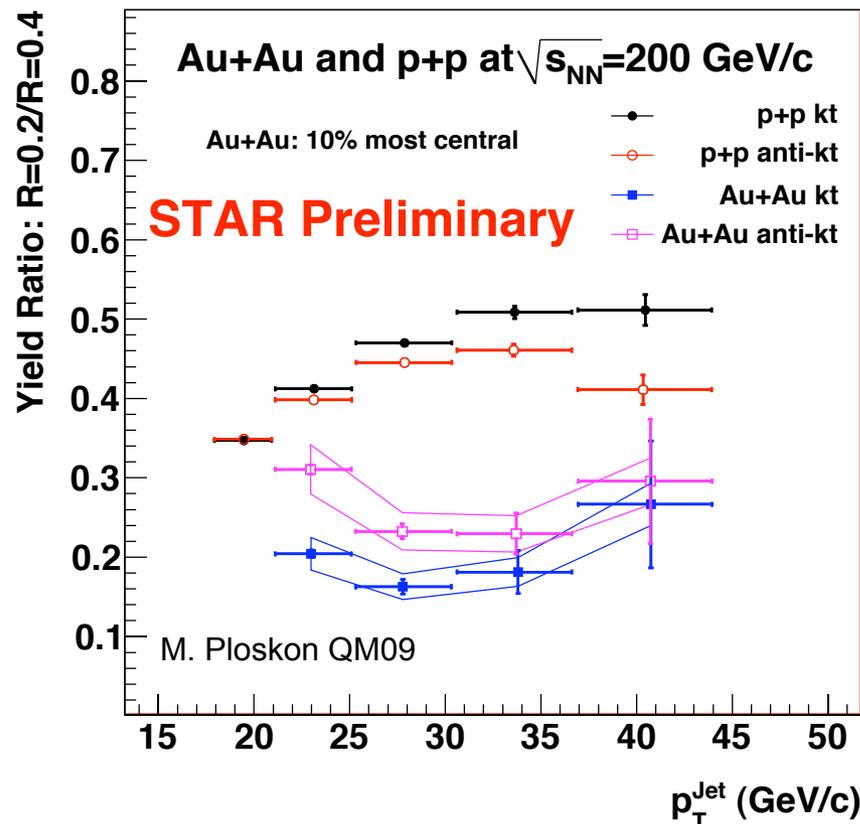
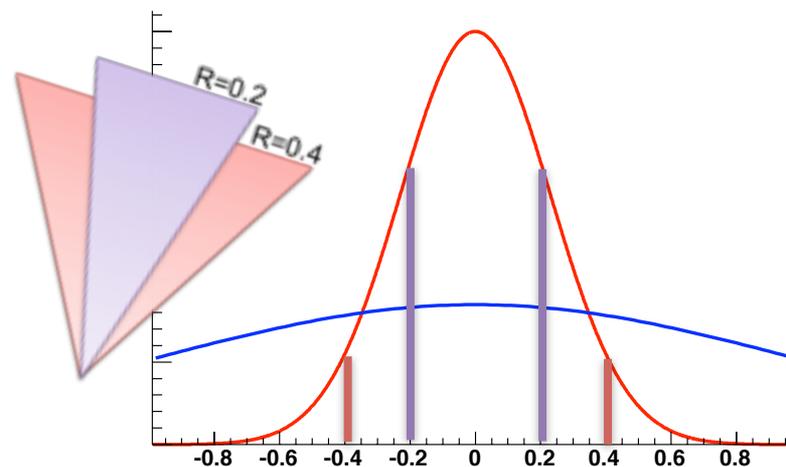


Illustration: Gaussian 1D profile



Red: p-p

Blue: Au-Au

- Au-Au ratio significantly lower than in p-p
- Broadening of jet reduces energy contained in fixed R compared to p-p

# R=0.2 compared to R=0.4 - Au-Au

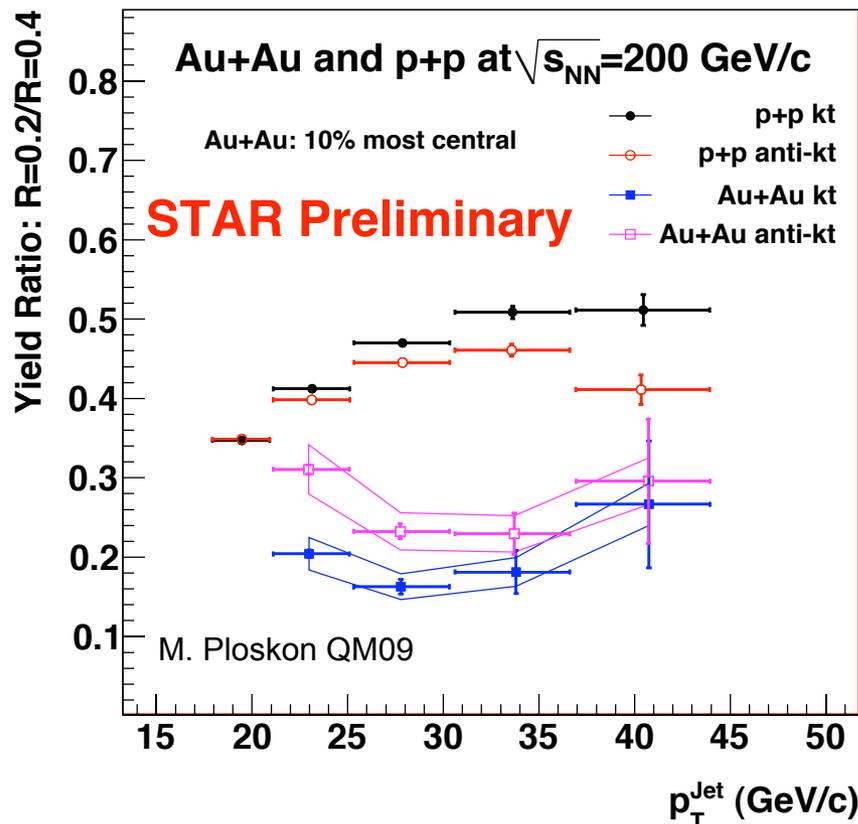
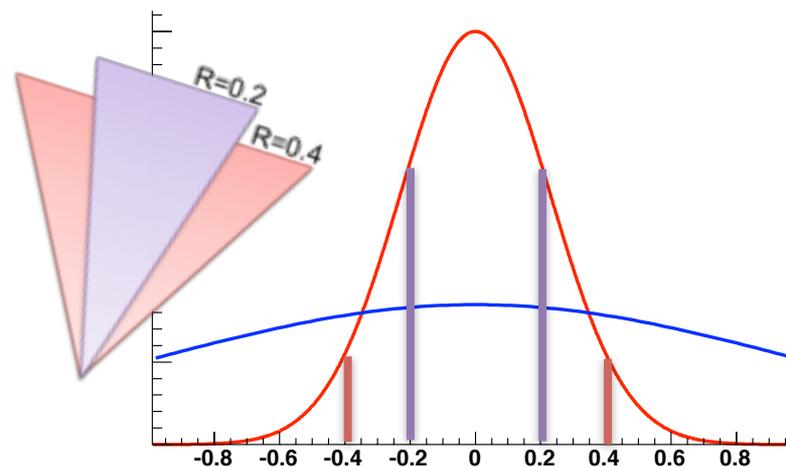


Illustration: Gaussian 1D profile



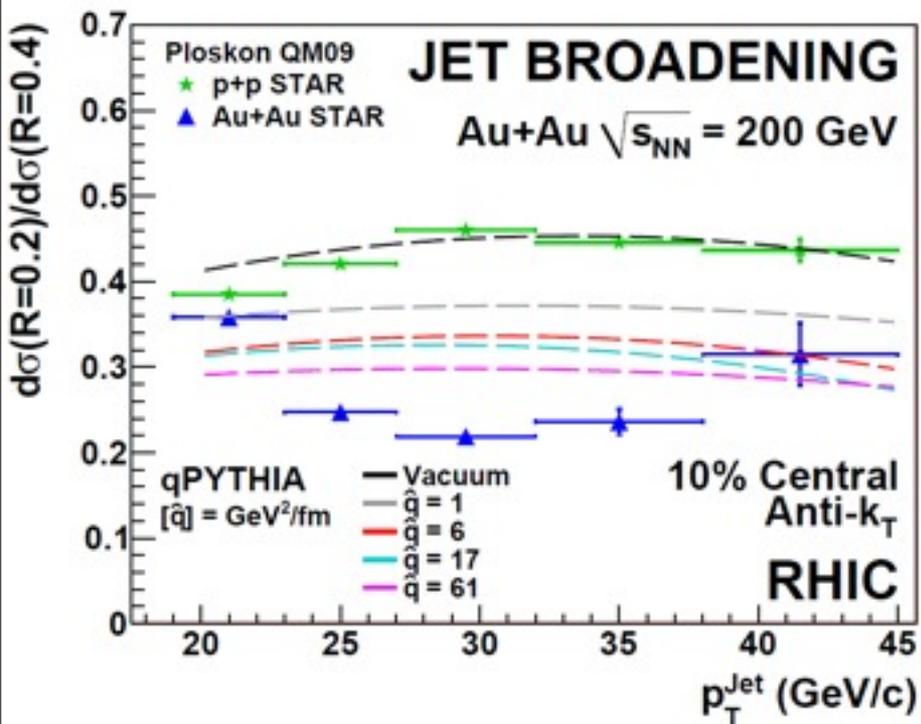
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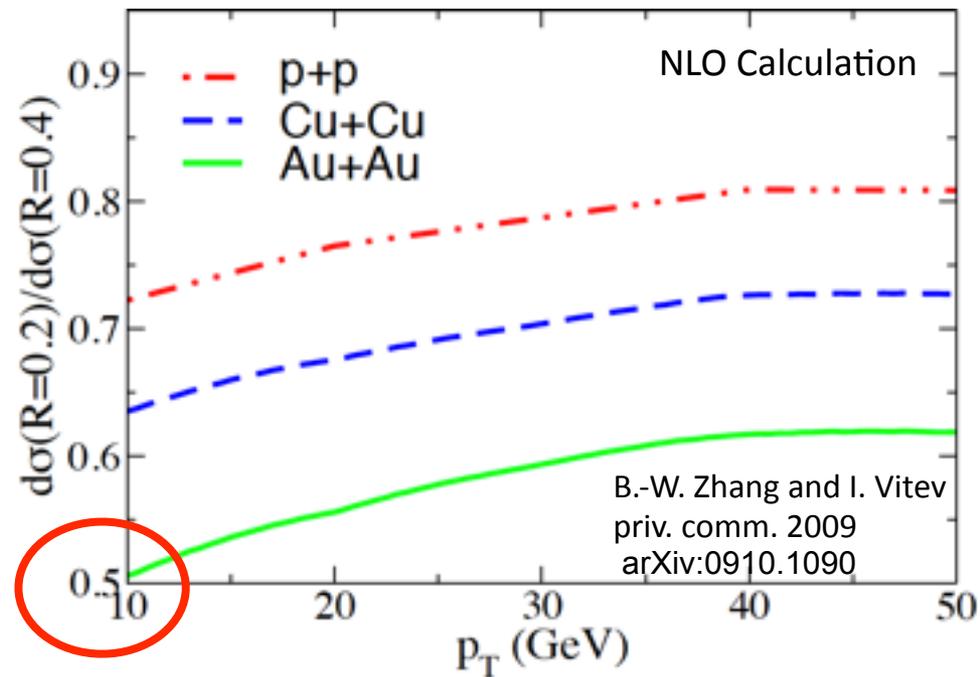
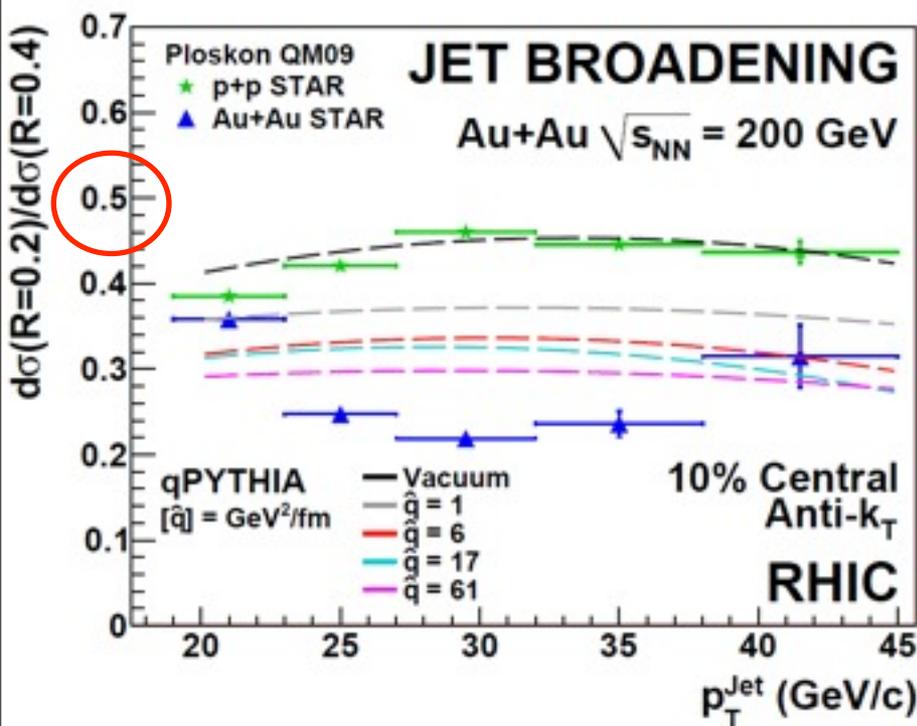
Significant broadening of Au-Au jets even within  $R=0.2 \rightarrow 0.4$   
 - related to away-side broadening in di-hadrons?

# Comparison to theory



- NLO - less broadening than seen in data  
N.B. in p-p ratio = 0.6-0.8

# Comparison to theory

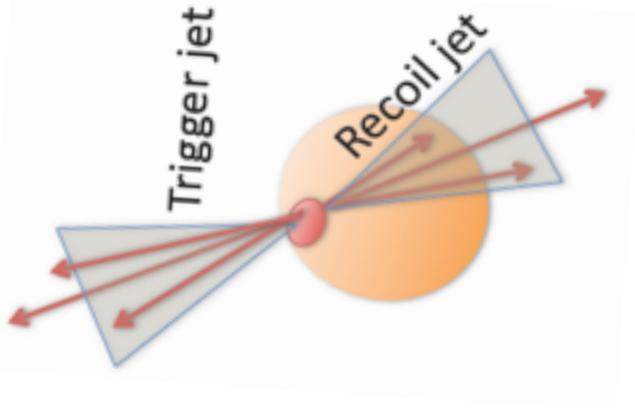


- qPYTHIA - less broadening than seen in data
- NLO - less broadening than seen in data  
 N.B. in p-p ratio = 0.6-0.8

Is broadening mostly hadronization effect?

# Di-jet suppression

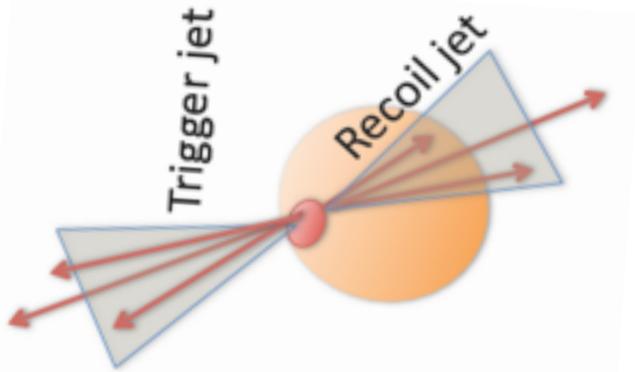
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High tower trigger - single particle with high  $p_T$   
maximize medium traversed by recoil jet

Compare yield of di-jets in p-p to that Au-Au

# Di-jet suppression

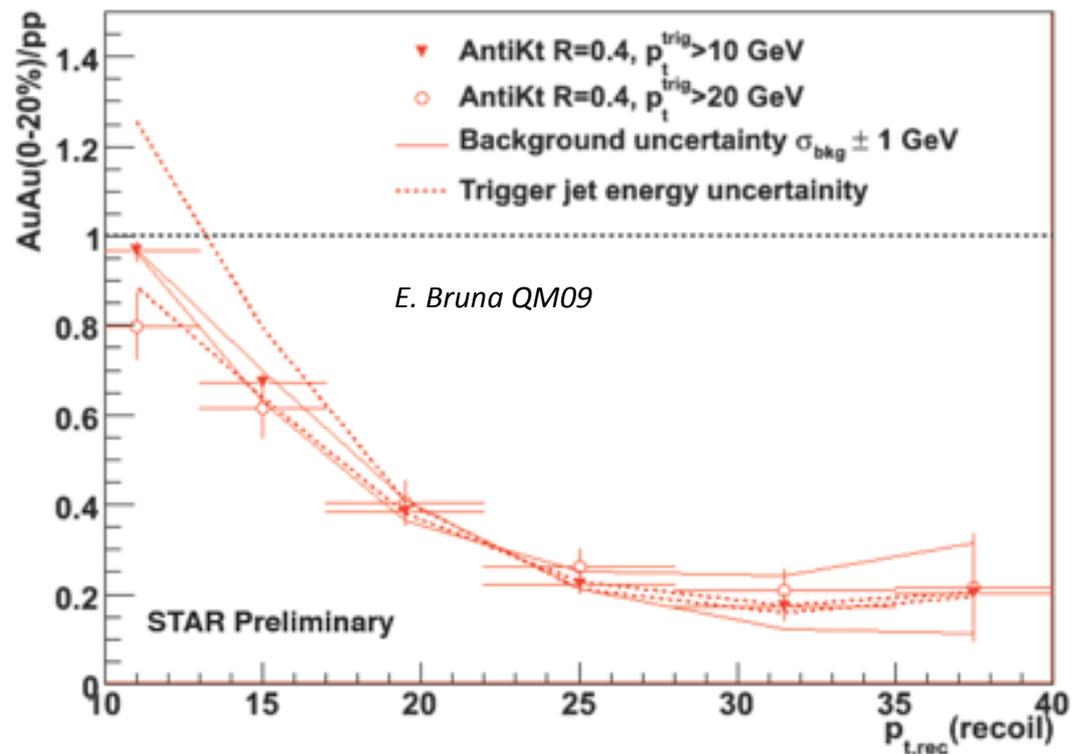


High tower trigger - single particle with high  $p_T$   
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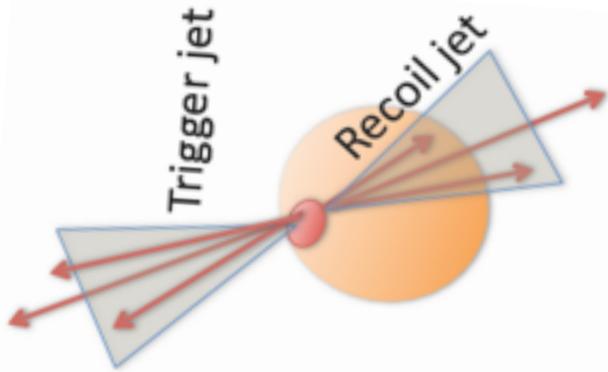
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Significant suppression of  
recoil jets - close to  
single particle  $R_{AA}$

Again indicates broadening



# Di-jet suppression



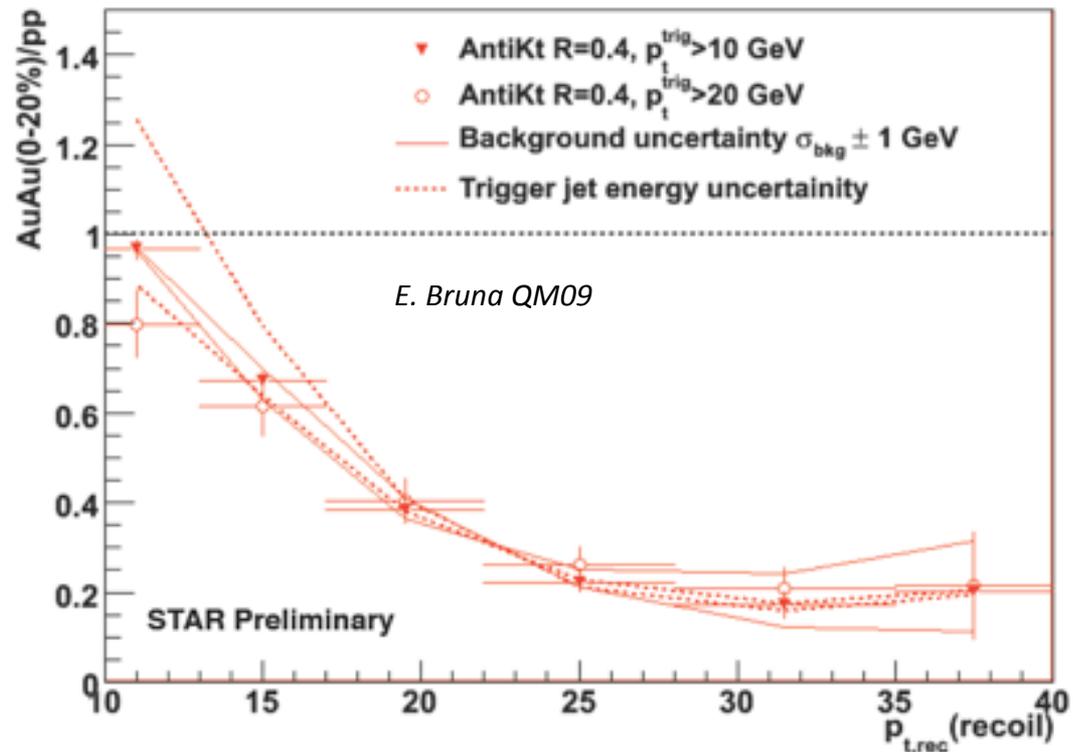
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Compare yield of di-jets in p-p to that Au-Au

Significant suppression of  
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Again indicates broadening

Large path length results  
in larger suppression/  
broadening



# Looking at the broadening - FF

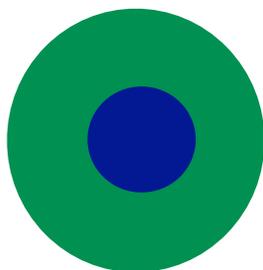
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Au-Au:

$$FF(\text{Jet}) = FF(\text{Jet+Bkg}) - FF(\text{bkg})$$

Bkg estimated from charged particle spectra out of jets, rescaling to the area with  $R=0.7$

To make jet definition cleaner try finding jet with  $R=0.4$



For FF consider charged particles within  $R=0.7$

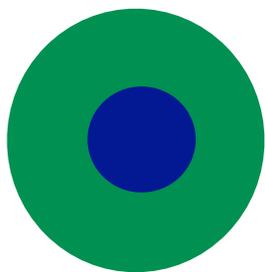
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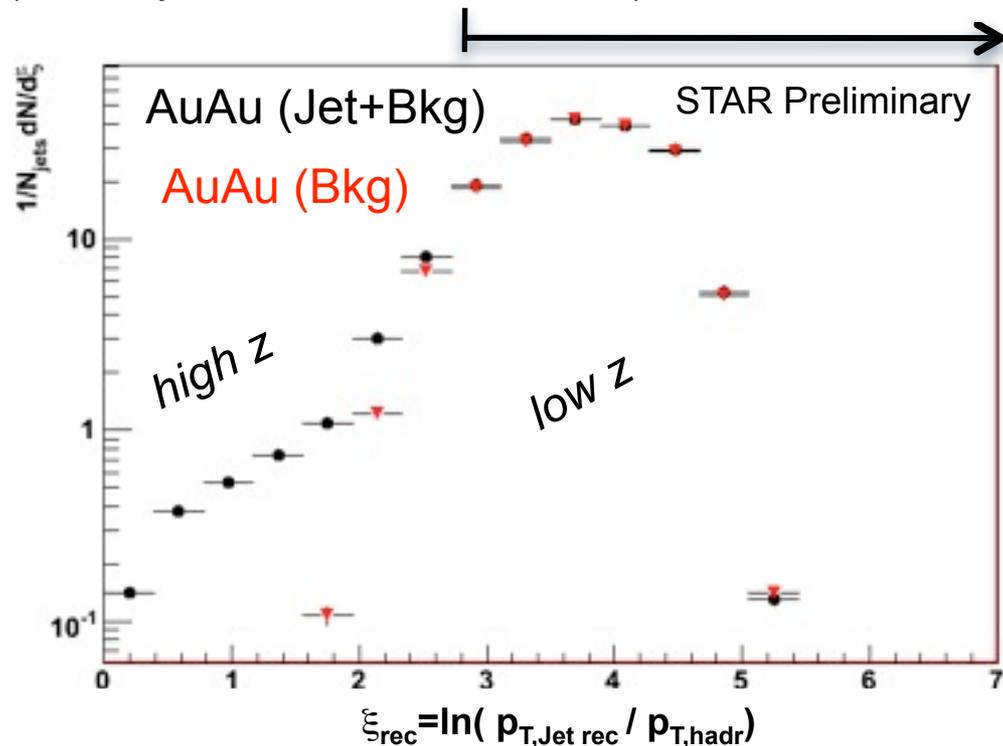
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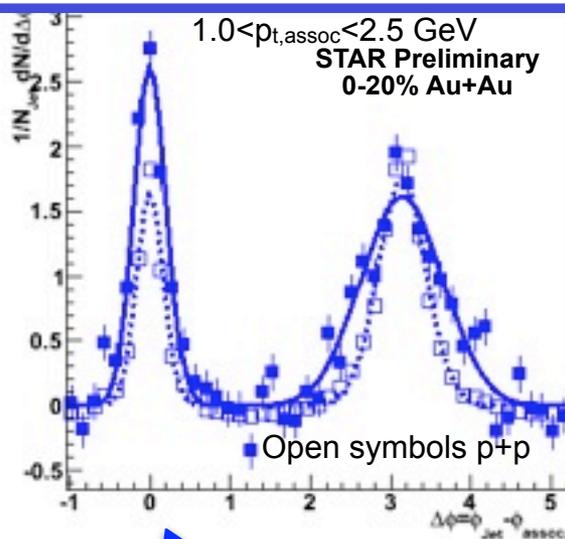
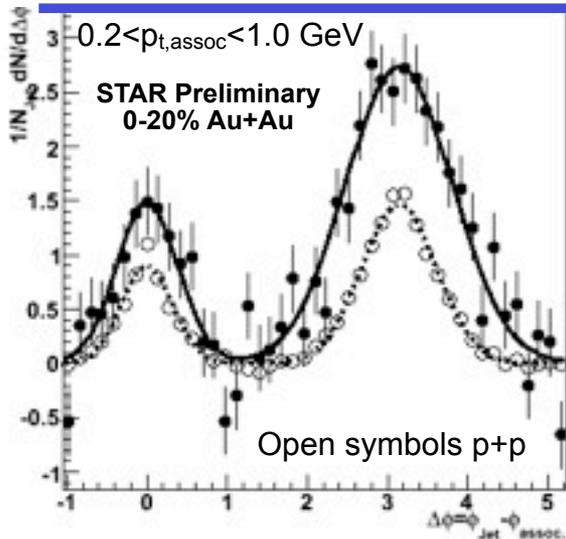
large uncertainties due to background  
(further systematic evaluation needed)



$$p_{T,\text{Jet rec}}(\text{trigger}) > 20 \text{ GeV} \ \& \ p_{T,\text{cut,particle}} = 2 \text{ GeV}$$

Background dominates at low  $p_T$  - where action is expected

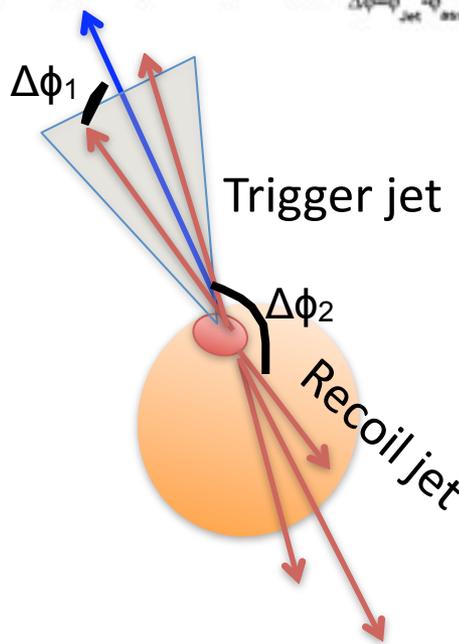
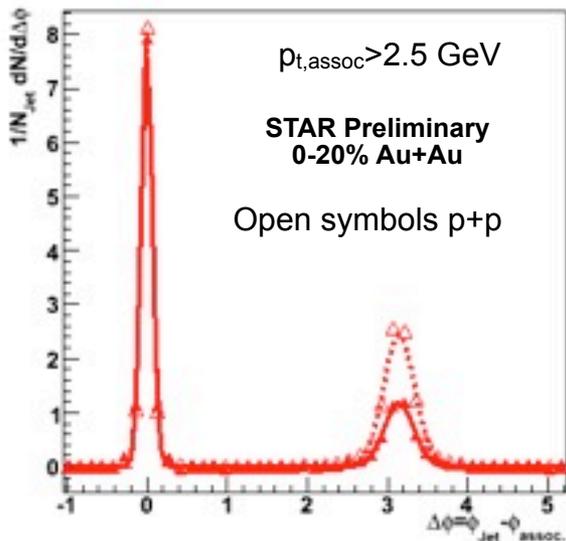
# Jet-hadron correlations



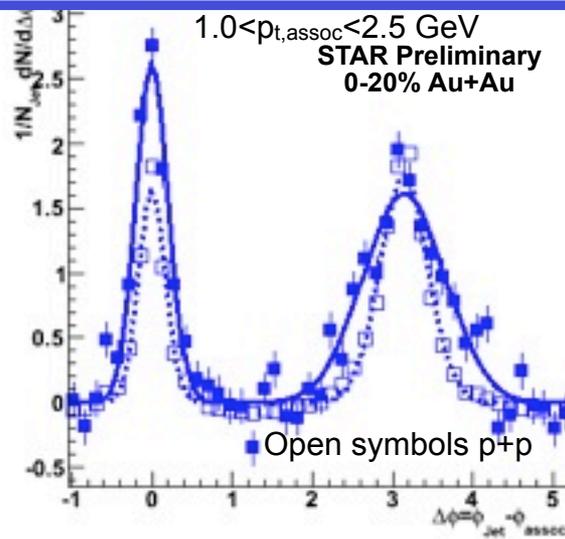
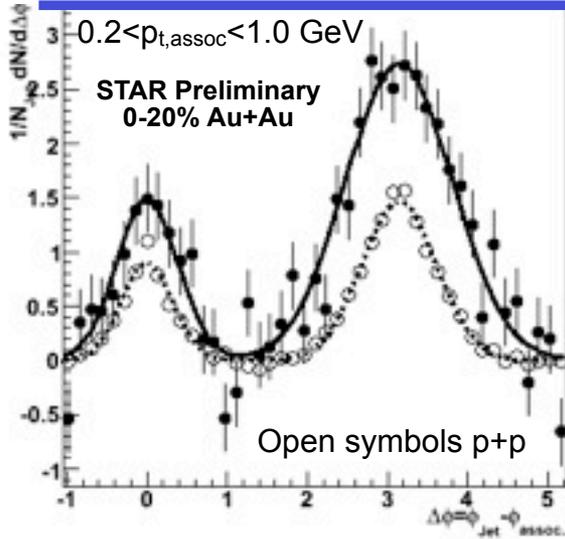
High Tower Trigger (HT):  
 tower 0.05x0.05 ( $\eta \times \phi$ )  
 with  $E_t > 5.4$  GeV

$\Delta\phi = \phi_{Jet} - \phi_{Assoc.}$   
 $\phi_{Jet}$  = jet-axis found  
 by Anti- $k_T$ ,  $R=0.4$ ,  
 $p_{t,cut} > 2$  GeV and  
 $p_{t,rec}(jet) > 20$  GeV

J.Putschke RHIC/AGS 2009



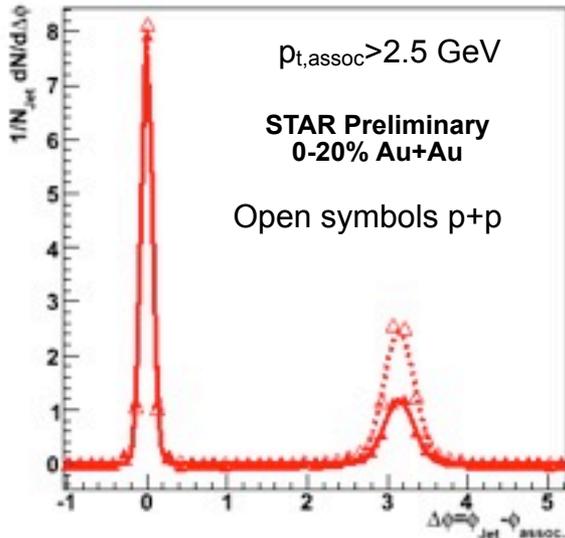
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J.Putschke RHIC/AGS 2009



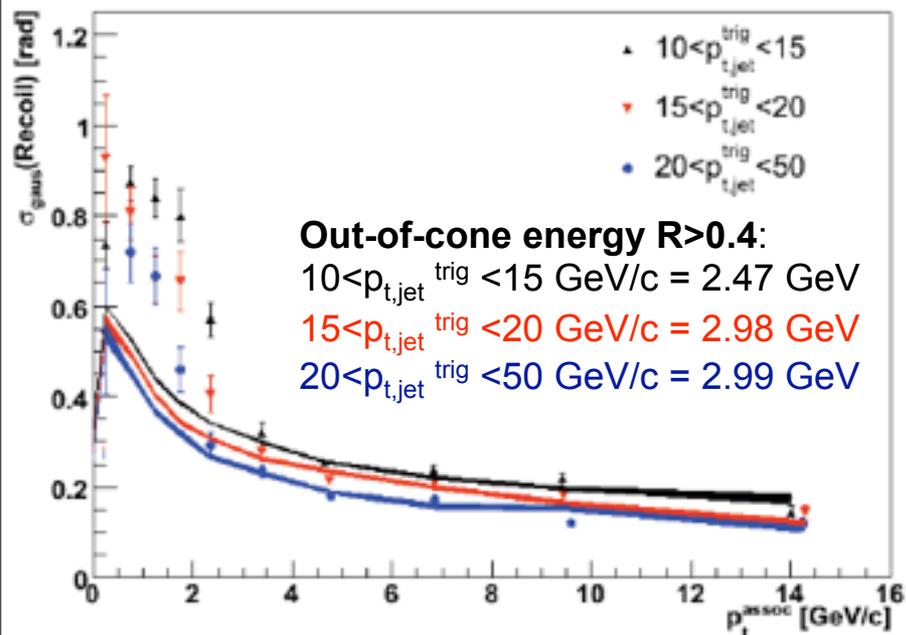
Broadening of recoil-side

Softening of recoil-side

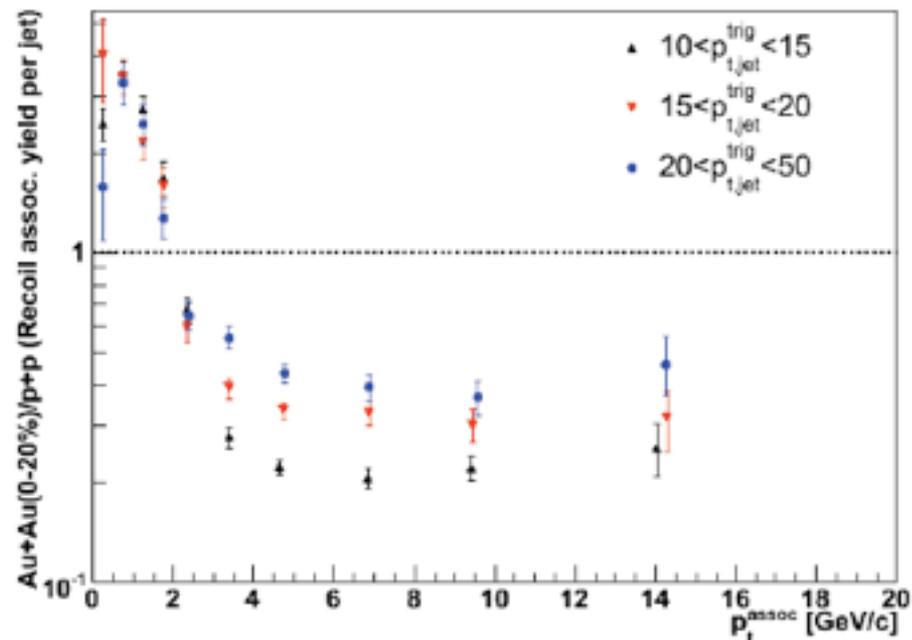
Caveat: “Jet  $v_2$ ” effects  
 still under investigation

First direct measurement of Modified  
 Fragmentation due to presence of sQGP

# Modification of recoil jet

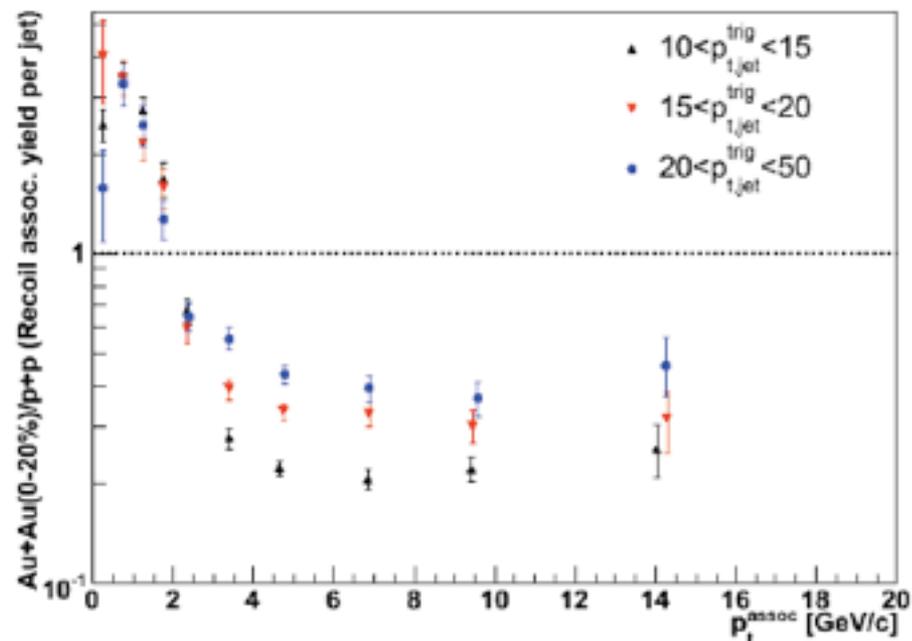
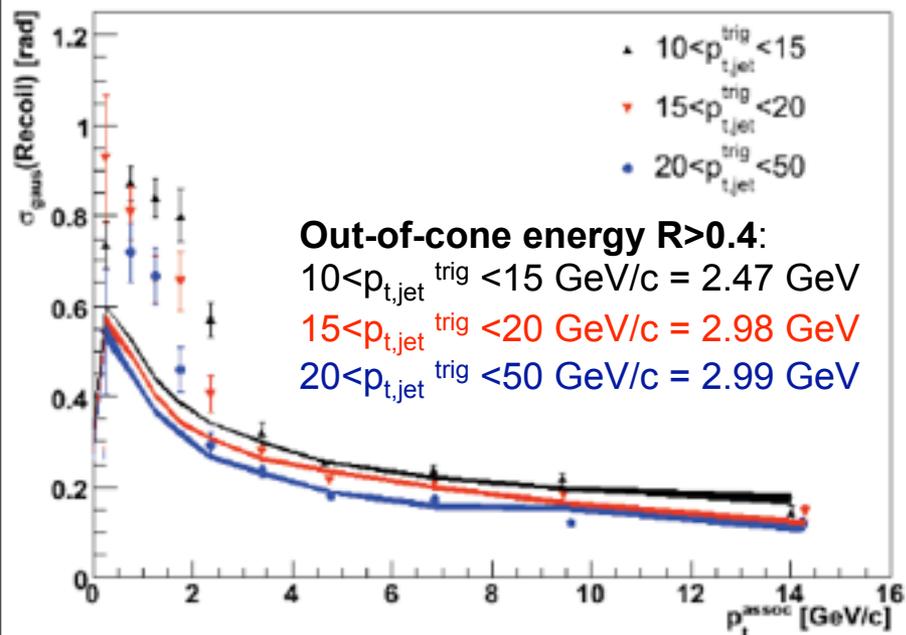


low  $p_T^{\text{assoc}}$  : azimuthal width: Au-Au  $>$  p-p  
 high  $p_T^{\text{assoc}}$  : azimuthal width: Au-Au  $\sim$  p-p



Broadening of soft fragments

# Modification of recoil jet



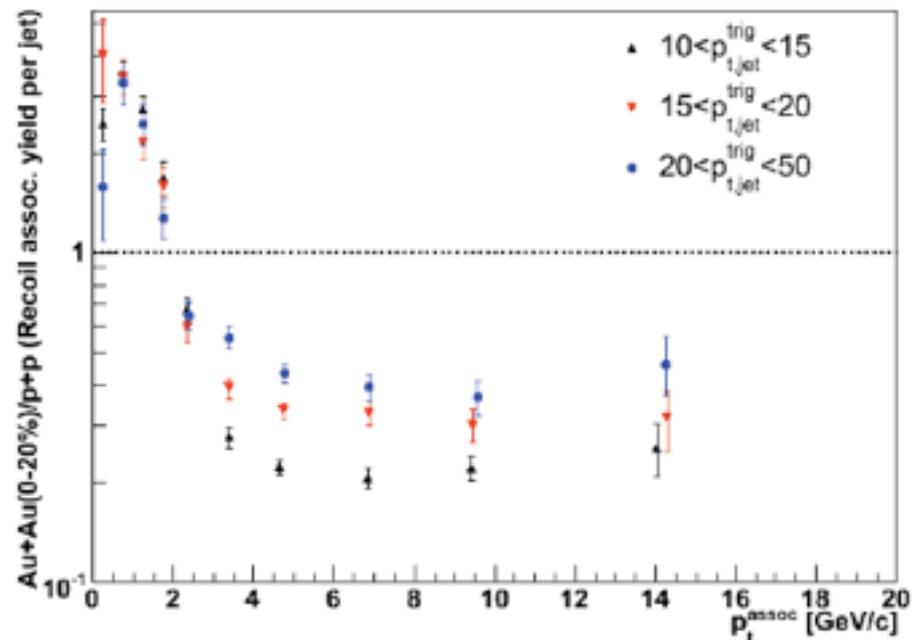
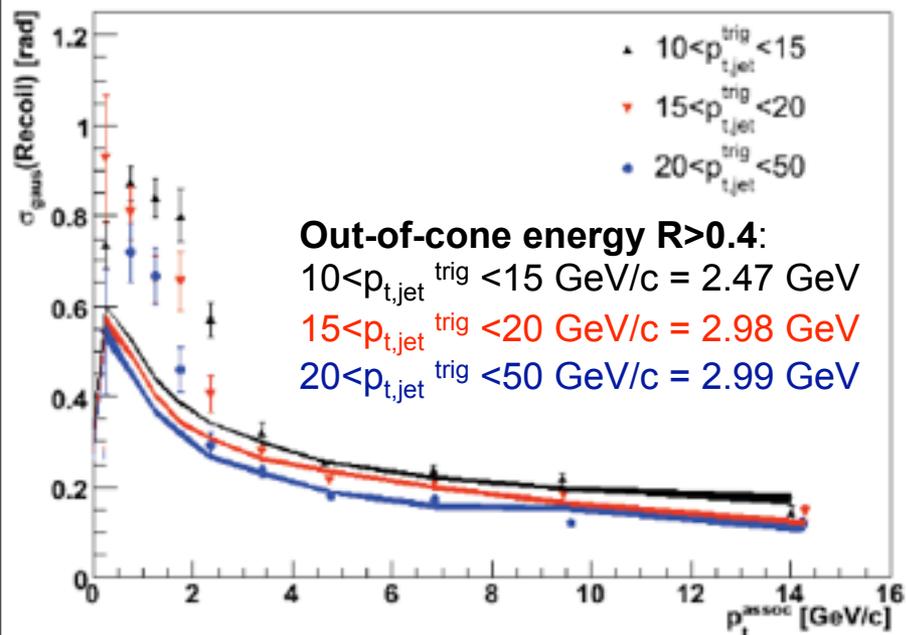
low  $p_{\text{T}}^{\text{assoc}}$  : azimuthal width: Au-Au > p-p  
 high  $p_{\text{T}}^{\text{assoc}}$  : azimuthal width: Au-Au ~ p-p

Broadening of soft fragments

low  $p_{\text{T}}^{\text{assoc}}$  : assoc. yield Au-Au > assoc. yield p-p  
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Quenching from hard to soft fragments

# Modification of recoil jet



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Quenching from hard to soft fragments

Energy outside  $R=0.4$  ~accounts for di-jet suppression

# Summary

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- p-p jet reference measurements are well understood - we have a calibrated probe
- Cold nuclear matter effects on jets are small (d-Au compared to p-p)
- Once parton escapes medium fragments as in vacuum
- Jets reconstructed in A-A assuming vacuum frag. show same suppression as for single hadrons (Gaussian filter studies)
- Strong evidence of broadening and softening of the jet energy profile ( $R=0.2/R=0.4$ , jet-hadron)
- Background subtraction the most serious issue - current focus

Results can be explained as due to significant partonic energy loss in the sQGP before fragmentation - numerous details left to be understood